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www.pgvax.com, created and owned by
a biotech startup company, Precision-
Guided Vaccines LLC

Precision-Guided Vaccines LLC

By screening a billion phages from a high-quality phage library, we found about 100 "MALT-targeting" transport peptides that can make vaccine particles do *EXACTLY WHAT WE WANT THEM TO DO.*

EXECUTIVE SUMMARY

By using a new type of screening test to screen a billion candidates from a phage library, a biotech startup company has isolated and sequenced a set of highly aggressive “pathogen pattern” peptides (aka *HYPHER*-pathogen peptides). That is a highly useful result, since these peptide sequences can be added (in low copy numbers) to the surfaces of mucosal vaccine particles, to make them appear to be dangerous pathogens. That appearance of danger will cause specialized immune cells, mounted on the outer surfaces of mucosal membranes, to rapidly pull in and process those dangerous-looking particles, in ways that will trigger and drive – all the way to completion – an immune response that will form antibodies to *ANY* antigen sequence, derived from *ANY* pathogen, that is carried (in high copy numbers) on those “MALT-targeting” mucosal vaccines.

The mucosal membranes in all vertebrate animals have “surface-mounted lymph nodes” (called MALT patches, for “mucosal-associated lymphoid tissues”), as a first line of defense against pathogens which attack and infect mucosal cells. Surface cells called “M cells” are adapted for “sampling” any particles that contact them, to identify – and pull in – those which appear to be dangerous. Rather than processing those particles, an M cell will rapidly hustle and push a particle (enclosed in a membrane bubble) through the cell, and it will eject that particle, in naked form again, into a “docking site” on its “basal” surface.

When “dendritic” immune cells are formed, specialized cytokine chemo-attractants attract them to the M cell docking sites, and large numbers of dendritic cells settle into those docking sites, to await delivery of a pathogen. If and when a particle is handed to a dendritic cell by an M cell, the dendritic cell will use its surface receptors to analyze that particle, and if the particle has certain types of “pathogen patterns” on its surface – causing it to appear to be both dangerous, and important – that “immature” dendritic cell will undergo an “activation” (aka maturation or transformation) event, which will transform it into an “antigen-presenting cell”.

When that happens, the activated (maturing) dendritic cell will move multiple copies of a special receptor, called the CCR7 receptor, from inside the cell, to the exposed outer surfaces of the cell. Once they reach the surface, the CCR7 receptors can be contacted and “triggered” by a

chemo-attractant cytokine called CCL19, which is gradually and constantly released by T cells in the germinal centers of lymph nodes. An “activated/maturing” dendritic cell will always travel in the direction of the highest number of signals it is receiving from the CCR7 receptors that are distributed all around the cell; and, since the CCL19 molecules are coming from the germinal centers of lymph nodes, that interaction enables activated dendritic cells to eventually find and then enter those lymph nodes.

While it travels, an activated dendritic cell will “semi-digest” surface proteins from the particle which activated the cell, and it will mount “chunks” of those proteins on special mounting-plaque proteins (MHC proteins). When “antigen presentation” finally occurs, inside a lymph node, B and T cells in the lymph node will take over, and will begin working together to create antibodies that will bind to those antigen sequences, and the dendritic cell will leave the lymph node (possibly in a way that might allow it to revert back into “immature status” again).

The screening test we created used a combination of: (i) a horizontal filter with 5-micron pore diameters, which is less than half the diameter of a dendritic cell; (ii) a suspension of nasal airway cells, sitting on top of that filter, harvested from mice which had been exposed to 20 million "candidate/contestant" phages per animal, from a phage display library; and, (iii) a supply of the CCL19 chemo-attractant, placed *beneath* that filter, and diffusing upward through the filter.

The **ONLY** cells from the surfaces of the mouse nasal airways which were both **ABLE** and **MOTIVATED** to squeeze through the 5 micron pores in the filter, and reach the bottom chamber, were dendritic cells which had been **TRANSFORMED** into antigen-presenting cells – with their CCR7 receptors moved to their outer surfaces – by their contact with *what appeared to be* a dangerous and important pathogen. So, we collected those cells, dissolved their membranes, and analyzed the foreign DNA inserts, in the phage particles they were carrying.

We then hired a phage lab to create genetically-engineered phage particles, with 15 copies/particle of the “MALT-targeting” sequences, and hundreds of copies/particle of a well-known, easy-to-test antigen (the “HA-tag epitope”). “Antibody production tests”, in both mice and pigs, showed that even at the lowest dosages tested, a single nasal infusion of those particles, with no adjuvants added, triggered “robust” formation of not just internal antibodies, but of secreted mucosal antibodies as well, which work via an entirely different mechanism.

We then shifted over to a different and better type of phage vehicle; we selected an antigen sequence found in numerous strains of influenza viruses which are actively causing problems around the world (the “FI-6” influenza antigen, described in Corti 2011); and, we have already started the first-ever “pathogen challenge tests” to see if those vaccines can actually protect lab animals against a deadly pathogen.

We will not be ready to formally announce and publish the results of that work, until the results from the pathogen challenge tests become available, hopefully by May 2026. However, we are using this website to quietly share information about what we have done so far, and what we are planning to do next, among small numbers of animal vaccine companies, research experts, and government agencies, in an effort to help give them some advance notice, and an opportunity to begin considering whether they might want to weave this opportunity into their research plans.

Every result we have been seen to date indicates that this new approach to creating “MALT-targeting” vaccines can provide three new and extremely useful benefits:

1. When applied topically, as mucosal vaccines (such as by nasal spray, or via lollipops or lozenges), they will trigger the formation of both the normal, well-known Y-shaped

antibodies that function inside the body, as well as an entirely different class of “secreted antibody dimers” (which work by an entirely different mechanism), into saliva, nasal mucus, lung and genital fluids, and digestive juices, to provide a “first line of defense” against mucosal pathogens (which includes all upper respiratory tract infections, including COVID, and influenza).

2. By using a “targeted transport” system, these vaccines completely eliminate any need for the types of harsh, unpleasant, muscle-irritating “adjuvants” that are required to make *injected* vaccines effective; and,
3. These vaccines can eliminate needles, injections, and medical waste, and they do not even require refrigeration. Instead of requiring people to make an appointment, get a shot, and feel soreness at the injection site for 2-3 days afterward, any nurse or group administrator can pass around a bowl of tasty lollipops, to a group of people.

The startup company which created this new approach to vaccine design has no desire or intent to become a manufacturing company, and we do not have the “biosafety labs” or expertise to perform “pathogen challenge tests”. Instead, we intend to become a licensing company, and will offer (at low cost) customized MALT-targeting phage constructs – carrying any antigen sequence designated by the requester – to any animal vaccine company, vet school research group, government agency, or other qualified research group that will commit to testing those phage constructs in “pathogen challenge tests” in one or more types of animals. To provide incentives and motivation for that type of testing, we hereby offer a worldwide exclusive license – covering MALT-targeting vaccines against one or more specific diseases, in one or more designated types of animals – to the first company or research group which generates enough positive data to support an “animal vaccine registration” (i.e., an approval for sale) by the US Department of Agriculture. More information on that is available via the “Goals and Plans” button in the footer.

As a final note, before March 2026, the startup company was named *Tetraheed Medical LLC*, a name that would not trigger attention or scrutiny, to let us “stay under the radar”, and not attract the attention or ire of rivals, competitors, etc. In March, the company name was changed to ***Precision-Guided Vaccines LLC***. Because that is exactly what we are trying to accomplish, and create; so, starting now, we want everyone to know about us, and what we are doing.

[end of Executive Summary box]

The two “PDF” buttons below will enable anyone to download, at no charge: (i) a complete copy of this website, in pdf format; and, (ii) the entire Background section, and list of cited references, from one of several pending (but not-yet-published) patent applications that describe and claim MALT-targeting vaccines, and the methods used to create them.

The next page contains a summary list of relevant topics (e.g., What are MALT patches? What are secreted IgA dimers?). If you click on any heading (in red), it will take you to a different page with more information on that topic.

The final page in this website contains a way to get in touch with us, if you want more information, and/or if your company or group might be interested in getting some low-cost MALT-targeting phages, with any antigen sequence you specify (however, that offer is available only to companies or groups that are able and willing to do pathogen challenge tests, with those particles, and that antigen).

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This page describes how mucous membranes are very different from dry skin. “Epidermal cells” actually are empty-bag pseudo-cells, which makes them ideal for absorbing and entrapping infective microbes, and preventing them from reproducing. Since mucosal membranes are covered by a different type and class of complete and functional cells (“epithelial” cells), they are major targets for pathogenic microbes, and “mucosal pathogens” are – by far – the largest and most important class of pathogens on this planet.

1. BRIEF INTRODUCTION TO MUCOSAL MEMBRANES, AND MUCOSAL PATHOGENS

This page describes how mucous membranes are very different from dry skin. “Epidermal cells” actually are empty-bag pseudo-cells, which makes them ideal for absorbing and entrapping infective microbes, and preventing them from reproducing. Since mucosal membranes are covered by a different type and class of complete and functional cells (“epithelial” cells), they are major targets for pathogenic microbes, and “mucosal pathogens” are – by far – the largest and most important class of pathogens on this planet.

2. MALT PATCHES, AND HOW “M CELLS” SAMPLE AND PULL IN APPARENT PATHOGENS

MALT (“mucosal associated lymphoid tissue”) patches are specialized lymph nodes, mounted on the outer exposed surfaces of mucous membranes. They are a crucial “first line of defense” against pathogens that try to infect mucous membrane cells.

M cells are “sampling cells” on the surfaces of MALT patches. If they detect a particle carrying a “pathogen pattern”, they will grab it and pull it in; however, rather than processing it, they will push it through the cell, as rapidly as possible, and eject it into a “docking site” where a different type of immune cell – an “immature” (or “pre-committed”) dendritic cell – is waiting for that type of “pathogen delivery”.

Therefore, “MALT-targeting” peptides are “pathogen pattern” peptides that can be attached to the surfaces of vaccine particles, so that they will “trick” the M cells into pulling them in, and passing them on to dendritic cells that are waiting for pathogen deliveries.

3. DENDRITIC CELLS ARE THE “GENIUS” CELLS WHICH CONTROL ANTIBODY-FORMING RESPONSES. THEREFORE, THEY ARE THE

PERFECT TARGETS FOR “TARGETED TRANSPORT” VACCINES CARRYING PATHOGEN SIGNALS WHICH MAKE THOSE VACCINE PARTICLES LOOK LIKE DANGEROUS PATHOGENS.

Dendritic cells play the absolutely crucial role, in determining: (i) which foreign particles are *NOT* really important and dangerous, and should be gobbled up and digested *on the spot, without further ado*; versus (ii) *which foreign particles* appear to be *dangerous and important pathogens, which need to be taken to a lymph node* (while being broken apart and semi-digested along the way), so that T and B cells in a lymph node can make antibodies that will bind to those alien/invading particles. With the help of “chemo-attractant” signals, newly-formed dendritic cells find and settle into the “docking sites” on the undersides of M cells, to await a pathogen delivery that will cause them to transform from an “immature” dendritic cell, into an “antigen-presenting” cell. Therefore, “MALT-targeting peptides” – when attached to the surfaces of mucosal vaccine particles – offer an ideal way to get those vaccine particles rapidly delivered to dendritic cells, and to get the dendritic cells which receive those particles to do exactly what is needed, to launch an antibody-forming response to any antigens on the surfaces of those vaccine particles.

4. ANIMALS USE “SECRETED MUCOSAL ANTIBODIES” TO FIGHT OFF MUCOSAL PATHOGENS, BUT MUCOSAL ANTIBODIES HAVE VERY DIFFERENT STRUCTURES AND FUNCTIONS THAN INTERNAL ANTIBODIES, AND THEY ARE NOT CREATED IN RESPONSE TO INJECTED VACCINES.

The *Y-shaped internal IgG antibodies* are not large or powerful enough to hinder a virus, let alone a bacterial cell, so they fight pathogens by using *a shape-changing “tag and flag” process*. When either “sticky arm” latches on to a particle, the antibody “stem” changes from a “Leave me alone, I’m an antibody” shape, into a different shape that signals, “I’ve latched onto something important, so somebody go find an immune cell, and tell it to come here and help me.” Guided to that location by “complement proteins” which “amplify” that signal, immune cells do the actual work of fighting and killing pathogens.

However, *in secreted mucosal fluids that are outside of any cells or tissues, there are not enough immune cells (or complement proteins) to respond to any signals from shape-shifted antibodies*. So, “secreted mucosal antibodies”, needed a totally different structure, and function, to be able to function effectively, and the mucosal immune system developed a remarkably clever way to strap two antibodies to each other, via their stem components, in a way that creates an “antibody dimer”, with FOUR different “sticky arms” (with two, at each end of an elongated molecular complex). *Secreted IgA antibody dimers* perform a *“grab-and-drag”* function, which prevents pathogens from penetrating into any mucous membrane cells. If a pathogen is grabbed in the mouth or nasal cavity, it

will be dragged down into the stomach acids, which will kill nearly all pathogens; if grabbed in the intestines, it will be kept suspended in the food that is being digested, until it is “pooped out” and eliminated.

5. VACCINE “ADJUVANTS” ARE “IMMUNO-STIMULATORY” ADDITIVES. IN REALITY, THEY ARE INFLAMMATORY, IRRITATING, AND TOXIC.

“Adjuvants” are compounds added to injected vaccines, to make them more effective and potent. However, in practical terms, they are harsh, irritating, inflammatory, distress-causing agents. They are added to *injected* vaccines, to cause the muscle cells at an injection site to send out distress signals (“cytokine” molecules), to recruit any nearby immune cells to come to the injection site, before the vaccine particles can be diluted, diffused, or degraded. Because of how they work, *MALT-targeting vaccines have the potential to completely eliminate any need for harsh and irritating adjuvants*. If that turns out to be the case, they will offer a major benefit, for *all* vaccines.

6. THERE ARE MORE THAN TWICE AS MANY SECRETED ANTIBODIES, IN A FEW POUNDS OF MUCOSAL FLUIDS IN A HEALTHY ADULT, THAN ALL THE INTERNAL ANTIBODIES IN THE ENTIRE BODY OF THAT PERSON. THAT IS A POWERFUL INDICATOR THAT MUCOSAL ANTIBODIES ARE VERY, VERY IMPORTANT IN FIGHTING MUCOSAL PATHOGENS. BUT, UNTIL NOW, THERE WERE NO RELIABLE, NON-TOXIC WAYS TO USE VACCINES TO CREATE MUCOSAL ANTIBODIES.

Most people have never even heard of “secreted antibody dimers”, but *there are more than twice as many of those* – in just the few pounds of saliva, nasal mucus, lung fluids, and digestive juices, in a healthy human – *as all of the internal antibodies in the entire remaining weight and bulk of that person's body*. Our bodies would not devote so many resources to creating huge numbers of mucosal antibodies, unless they were truly important, and immunologists know, full well, that if vaccines could be created which could safely and reliably create mucosal AND internal antibodies, those “balanced, bi-functional vaccines” would be better and more effective (especially against “upper respiratory tract” infections) than vaccines which can only create internal antibodies. However, scientists and vaccine companies have never previously been able to make vaccines which can trigger BOTH internal AND mucosal antibodies, without using truly nasty chemicals which are not acceptable for livestock or pets, let alone humans. MALT-targeting mucosal vaccines have the potential to change that, dramatically.

7. STARTING-POINT FACTS ABOUT PHAGES, "PHAGE LIBRARIES", AND "SCREENING TESTS" THAT CAN BE USED TO ISOLATE THOSE PHAGES WHICH CAN PERFORM SOME SPECIAL KIND OF TRICK OR FEAT.

“Phages” (originally called “bacteriophages”) are the smallest viruses ever discovered. Each type can infect only a small set of bacteria, and none can infect plants or animals, so they are treated as harmless and non-pathogenic.

Phage “libraries” (aka phage *display* libraries) contain billions of different phage particles, and each particle carries a different, randomly-created “foreign peptide insert” on an exposed “coat protein”. They took decades to develop, but now, a top-quality library with a trillion different phage particles can be purchased for less than \$800 from companies like New England Biolabs.

“Screening tests” are clever ways, thought up by scientists, to subject millions of phage particles to a fair competition, usually involving something like, “Uptake and processing, by a specific and unusual type of cell”, so that they can isolate and then analyze those few phages which happened to be carrying an inserted peptide sequence which caused those cells to perform that activity. Rather than trying to predict, design, or control which protein sequences will be able to perform "the XYZ trick", it often is easier to design a way to let millions of phages give it a try, and figure out some way to isolate those phages which were able to do that particular trick.

So, this page provides background information, on what phages, phage libraries, and "screening tests" are, to enable non-experts to understand what we did, why we did it that way, and what we got, as the results.

8. HOW WE CREATED AND USED A "SCREENING TEST" WHICH ISOLATED ABOUT 100 PHAGES, OUT OF A BILLION CANDIDATES, WHICH CAN TRIGGER – AND THEN DRIVE, ALL THE WAY TO COMPLETION – THE EXACT SET OF IMMUNE CELL RESPONSES WE WANTED, BY THE EXACT TYPES OF IMMUNE CELLS THAT ARE PERFECT FOR FORMING BOTH: (i) INTERNAL IgG ANTIBODIES, AND (ii) SECRETED MUCOSAL IgA ANTIBODY DIMERS, WHICH WILL BIND TO THE ANTIGENS THAT ARE CARRIED BY MUCOSAL VACCINES.

Building on all the information and factors described above, *Precision-Guided Vaccines LLC* thought up and used a new type of screening test, which isolated about 100 phage particles (out of a billion candidates/contestants) which happened to be carrying peptide inserts which made those particles appear to be so dangerous, and so important, to M cells and dendritic cells (in mice), that those specific particles were taken in and processed – as quickly as possible – by those M cells and dendritic cells, in ways that would have led to antibody formation, if we had allowed the cells to continue. Instead, we extracted a mixed batch of mucosal surface cells, and used a clever screening

method, to isolate only those dendritic cells which had become transformed and activated, by their contact with the specific phages they had taken in. We then broke those activated dendritic cells open, and analyzed the foreign inserts in the phages they had taken in.

9. TESTS WHICH USED THE “FIRST TESTABLE PHAGE CONSTRUCTS” SHOWED THAT A SINGLE NASAL INFUSION TRIGGERED ROBUST PRODUCTION OF BOTH IgG ANTIBODIES IN BLOOD, AND SECRETED IgA ANTIBODY DIMERS IN SALIVA. THOSE RESULTS WERE SO GOOD THAT WE MOVED ON TO “PATHOGEN CHALLENGE” TESTS, USING A DIFFERENT AND BETTER TYPE OF PHAGE.

Once we knew the DNA and amino acid sequences for the phage inserts that functioned as “MALT-targeting” peptides, we hired a phage assembly lab to create “the first testable constructs”, carrying 15 copies of the best-performing MALT-targeting sequences; and hundreds of copies of a well-known antigen that is easy to test for. In both mice and pigs, a single nasal infusion of those particles (with no adjuvants) caused "robust" formation of BOTH: (i) internal IgG antibodies, in blood, AND, (ii) secreted IgA antibody dimers, in saliva, as shown by both ELISA and SDS-PAGE/Western assays. Those results were so good that we shifted over to a different type of phage that is better suited for pathogen challenge tests.

10. THE FIRST “PATHOGEN CHALLENGE TESTS” ARE USING T7 PHAGES, CARRYING THE FI-6 ANTIGEN FROM INFLUENZA. THEY ARE ON SCHEDULE TO BE COMPLETED IN APRIL 2026.

OUR HOPE AND PLAN IS TO BECOME A LICENSING COMPANY, AND NOTHING MORE. SO, WE WILL OFFER *READY-FOR-TESTING* MALT-TARGETING PHAGES, AT LOW COST, CARRYING *ANY ANTIGEN A REQUESTER SPECIFIES*, IF THAT REQUESTER WILL COMMIT TO TESTING THEM IN ANIMALS; AND, WE WILL OFFER EXCLUSIVE LICENSES TO SPECIFIC VACCINES FOR LISTED ANIMAL TYPES, TO THE FIRST COMPANIES THAT GATHER ENOUGH SOLID DATA TO SUPPORT GOVERNMENT REVIEWS AND APPROVALS.

We do not want to compete against any vaccine companies; we do not want to become a manufacturing company; and, we do not want to assemble, and then learn how to use, biosafety equipment, to be able to do pathogen testing on animals. Other people are already experts in all those things, and we truly and genuinely respect their expertise, and hope to work with them, in ways that will let them use their talents and skills to make

the best vaccines that can possibly be made, both for humans (some day), and for non-human animals (as soon as possible).

Therefore, our goals are: (i) to become a licensing company; and (ii) to promote more research into MALT-targeting mucosal vaccines, as quickly as possible, by as many animal vaccine companies as possible, by offering to provide them, at the lowest possible cost, ready-for-testing T7 phages carrying both: (a) about 40 copies/particle of the three best-performing MALT-targeting sequences we have identified, so far, and (b) about 400 copies/particle of whatever antigen/epitope sequence a requestor wants to test, in one or more specific types of animal(s). And, to help encourage, motivate, and incentivize experts in animal testing to begin doing that research, we hereby commit to offering worldwide exclusive licenses, for any use of MALT-targeting peptides, to the first companies that are able to generate enough solid data to qualify for governmental approvals to sell the vaccines that they have shown to work.

IF YOU WANT TO GET IN TOUCH WITH US . . .

If you want to contact us, click on the red heading directly above, and write a short message in the box on the page that will open, to let us know who you are, what you want us to know, and how we can get in touch with you.

NEXT PAGE: www.pgvax.com/t1-mucosal-membranes-pathogens

TOPIC 1. BRIEF INTRODUCTION TO MUCOSAL MEMBRANES, AND MUCOSAL PATHOGENS

With only a few exceptions (mainly for “blood-borne diseases”, such as malaria and Lyme disease, which are spread by insect bites), almost every disease-causing microbe on this planet evolved in ways that caused them to become “mucosal pathogens”, which infect animals by penetrating into cells on mucosal membranes. Even if their main damage occurs in other types of tissues, they must establish an initial infection, to establish a “foothold” (or beachhead, or similar terms) where they can begin reproducing, so that their progeny can then try to spread into other parts of the body.

Two major aspects of animal anatomy and physiology drove pathogens to mutate and evolve in that direction:

1. “Dry skin” is covered by “epidermal” cells, and those are not really “cells” at all; instead, they are empty-bag “pseudo-cells” which are dead from the moment they are created. Instead of being formed by cell division, they are formed by a “budding” process, in which precursor cells (located about 6-8 layers deep, in most areas of dry skin) rapidly enlarge to nearly twice their normal size, and then “pinch off” something which is basically an empty bag – with a normal outer membrane, having surface proteins which microbes can latch on to, but with almost nothing but slightly salty water inside that membrane. That makes epidermal cells ideal as “decoys” or “bait” for pathogens, which use their tricks and tools to break into those cells, only to discover that they have broken into an empty shell of a building, with none of the things they need, to reproduce, and none of the things they need, to escape.

2. By contrast, mucous membranes are covered by an entirely different class of cells, called “epithelial” cells. Those are full and complete cells, with all of the biochemical machinery and supplies that pathogens need, to reproduce. And unlike cuts, nicks, or wounds, which disappear fairly rapidly as they heal, mucous membranes are always available, and accessible, at all times, in any animal. Therefore, they are very tempting targets, for any type of pathogen which has evolved with some type of mechanism for grabbing hold of one or more types of epithelial cells, and then invading those cells (and, different types of microbes use a wide variety of such mechanisms).

3. Although most people are startled when they hear this number, the total area of the mucous membranes, in an adult human, is estimated to be about 200 times larger than the total area of dry skin, which covers the body. While that ratio might seem exaggerated or even preposterous, two factors help explain it:

(i) it includes all of the microscopically small sacs inside both lungs, when fully inflated to bursting pressure. That surface area, by itself, is (and must be) quite large, to provide enough gas transfer, across those membranes, to supply all of the muscles, organs, brain, and other tissues of the body with enough oxygen to keep them fully functioning, even under periods of strenuous exertion; and,

(ii) most mucosal surfaces have very large numbers of folds, ripples, invaginations, and other irregularities. Some are visible, but many more are microscopic in size. Those folds, ripples, etc., provide mucous membranes with numerous advantages, including remarkable flexibility and stretchability, as well as greatly expanded surface areas, which allow very large numbers of surface-mounted cells (and even specific types of cell surfaces) to perform specialized functions.

In addition, another huge advantage helped promote and enlarge the class of mucosal pathogens. If a pathogen can infect a surface mucosal cell and begin reproducing in that cell, it almost certainly will be able to begin forcing that host cell to either: (i) die and split open, thereby releasing dozens, hundreds, and in some cases thousands of new copies of that pathogen; or, (ii) become “leaky”, and begin secreting pathogens, in ways that keep the host cell alive, so that it will keep making even more copies of that pathogen. Since nearly all types of viruses and bacteria can reproduce very rapidly after they “set up shop” inside a host cell, the pathogens will be able to reproduce, and release multiple copies of themselves, long before all of the necessary steps can be completed to: (i) enable the infected cell to begin creating and moving distress-signaling cytokine molecules to its basal surface; (ii) enable a “killer T cell” to find that infected cell; and, (iii) wait for the killer T cell to engulf and destroy the infected cell. By the time all those steps can be completed, the infected cell is likely to be already dead, or actively spewing out copies of that pathogen. This is a major part of the reason why, even if someone has been vaccinated against COVID viruses, and has even been previously infected by COVID, if that person gets COVID again, s/he is likely to have very high numbers of active and infective COVID viruses, in his/her saliva.

With those and other factors actively encouraging the development of mucosal pathogens, animal immune systems had to develop a “first line of defense” against mucosal pathogens; and, “MALT patches”, and a special type of secreted antibodies – with a completely different structure and function than classic Y-shaped internal antibodies – became two of the main components of that “first line of defense” against mucosal pathogens. Those topics are described on the next two pages.

NEXT PAGE: www.pgvax.com/t2-malt-patches-m-cells

TOPIC 2. MALT PATCHES, AND HOW “M CELLS” SAMPLE AND PULL IN APPARENT PATHOGENS

The phrase “lymph node” refers to specialized tissue sites where T and B cells work cooperatively with each other, to create antibodies that will bind to chunks of proteins that are brought into the lymph nodes, by mobile “antigen-presenting cells.” For historical reasons (described in the downloadable Background section of a patent application which can be found [HERE](#)), any cells which can create antibodies are called “B cells.” T cells (which were given that name, because they must pass through the thymus, which is inside the chest, and which is at a halfway point between an organ, and a gland) are the cells which manage, supervise, and control B cells which are trying to create the best possible antibodies. Among other roles, T cells give essential stimulatory molecules to B cells which are creating promising antibody candidates; and, they refuse to give those vital signaling molecules to B cells which are not making promising antibodies, so that the non-promising B cells will die off, and simplify the competition.

With that as the definition of “lymph node”, the specialized immune system tissue patches that are exposed and accessible, on the surfaces of mucosal membranes (called MALT patches, for “mucosal associated lymphoid tissues”) fully qualify as “lymph nodes”, since they do indeed contain B and T cells which work together, to create antibodies that will bind to alien/invading/non-self peptides that are presented to them by mobile “antigen-presenting cells”.

However, MALT patches usually are not called “lymph nodes”. Instead, they belong in a special category, which deserves its own special name, because they have additional roles, capabilities, and functions that internal lymph nodes cannot match.

And, as brief asides:

(i) MALT patches that occur in the nasal cavity or mouth are also called NALT patches, where the N refers to “naso-pharyngeal”, to include not just the nasal cavity, but also the pharynx (i.e., the place where the nasal cavity, mouth, and throat all come together and intersect); and,

(ii) MALT patches in the intestines are sometimes called GALT patches (where G stands for “gut”), but they are more commonly called Peyer’s patches.

The crucial difference between surface-exposed MALT patches, versus “internal” lymph nodes, arises from the presence and activities of highly specialized cells, called “M cells” (from either “membrane” or “microvilli”, depending on what sources you read).

The “luminal” (also spelled luminal) surface of an M cell (i.e., the surface which is exposed to food and/or air, in the mouth, nasal cavity, and lungs, and to food which is being digested, in the intestines) will have surface-mounted receptor proteins that are constantly looking for “pathogen patterns”, on particles which contact those receptors; and, when one of those cell receptors recognizes a “pathogen pattern”, on a particle which has contacted the cell, the cell will pull that particle inside the cell, for processing.

Summarized briefly, “pathogen patterns” (their full scientific name is “pathogen-associated molecular patterns”, or PAMPs) are protein sequences (i.e., specific sequences of the 20 “primary amino acids” which are strung together to make all proteins, in all forms of life on this planet) which appear on numerous different types of microbes. Those “pathogen pattern” sequences became “highly conserved”, and appear on numerous different types of microbes, because they mutated and evolved to a point where they reached a “sweet spot” of truly optimal functionality and efficiency, to a point where any other microbes, carrying different mutated versions of those “highly conserved regions”, will not be able to function as well, and will not be

able to compete effectively against microbes having the optimal, highly-conserved sequences.

Accordingly, if an M cell, on the surface of a MALT patch, detects that a particle which has been inhaled or swallowed has one or more “pathogen patterns” on its surface, the M cell will pull that particle into the cell, using a process called “endocytosis”, or “phagocytosis.” As another brief aside, endocytosis is a broader term, because it also includes a second process called “pinocytosis”, which involves taking in tiny droplets of liquid; however, if intact particles are being pulled in by a cell, the term “phagocytosis” is more specific, and preferred.

When “phagocytosis” occurs, the cell encloses an incoming particle inside a bubble of membrane material, called a “phagosome”, partly to isolate the cell against the risk of being attacked by the particle, and in many cases, to begin the process of digesting and breaking apart that particle. In nearly all cases involving cells other than M cells, intake of a particle, inside a phagosome, leads to digestion of the particle, by a two-step process: (i) special enzymes will begin pumping acid into the phagosome, to begin softening, prying apart, and loosening up whatever is in the particle, in a manner comparable to way stomach acidity gets chewed food more ready to be digested; then, (ii) a “lysosome” will merge with the phagosome, and that merger will add more acidity, and aggressive digestive enzymes, to the mixture, so that the particle can effectively be “cooked and dissolved” inside a bubble which protects the rest of the cell components from those very harsh conditions.

However, if phagocytosis *by an M cell* was triggered by a “pathogen pattern” on a particle which was pulled inside the cell, the M cell will shift into a very different mode, which is believed to be unique to M cells, and only M cells. Using a combination of microtubules and energy-donating enzymes, an M cell with a phagosome containing an apparently dangerous pathogen will actively push, pull, and hustle that phagosome, as rapidly as possible, toward the “bottom surface” membrane (usually called the “basal” membrane) of the M cell. And, when the moving bubble of membrane material (which encloses the phagosome) gets pressed against the basal membrane of the cell, those two membranes will merge together with each other, since they are made of exactly the same types of molecules. When that happens, the “apparently dangerous particle” will be ejected out of the M cell, in “naked form” again, with no membrane surrounding it. That unique M cell process is called “trans-cytosis”, which translates into “through the cell”, or “across the cell”.

And, that process will eject the particle directly into a special and unusual type of open and roughly U-shaped cavity, on the “underside” (or “basal” side) of the M cell.

Those cavities are called “docking sites”, because they are functionally similar to the “docking sites” that big trucks will back up into, on the back sides of retail stores, to both: (i) deliver new products to those stores; and, (ii) carry away, for delivery, anything which is too large or heavy for customers in that store to carry away on their own.

And, those docking sites are extra-special, because that is exactly where large numbers of immature dendritic cells go to, in order to wait for a “pathogen delivery” by an M cell. They do that, because there is a specific “chemo-attractant” cytokine molecule which is slowly and constantly released, by M cells, into the docking sites on their basal surfaces. That chemo-attractant actively attracts *immature* dendritic cells, to those docking sites.

And, that leads into a discussion of “dendritic cells”, on the next page.

NEXT PAGE: www.pgvax.com/t3-dendritic-cells

TOPIC 3. DENDRITIC CELLS ARE THE “GENIUS” CELLS WHICH CONTROL ANTIBODY-FORMING RESPONSES, TO PATHOGENS THAT ARE EITHER IMPORTANT, OR NOT. THEY ARE THE PERFECT TARGETS, FOR “TARGETED TRANSPORT” VACCINE PARTICLES HAVING “PATHOGEN PATTERNS” WHICH MAKE THOSE VACCINE PARTICLES LOOK EXTREMELY DANGEROUS.

As an opening statement, dendritic cells rank in the “genius” league, when it comes to individual cells. *THEY* are the immune cells which must figure out which invaders are important, and which are not; and, when *THEY* determine that some particular (and apparently foreign, invading, non-self) particle is important, *THEY* are the cells which begin traveling toward a lymph node, to deliver that package to the B and T cells in a lymph node. While traveling, they semi-digest the surface proteins on that particle, and place the resulting “chunks” of the foreign protein on specialized “mounting plaque” proteins (called MHC proteins) . And, dendritic cells even determine and control whether the chunks of foreign protein, from a foreign particle, will be mounted on MHC-1 proteins (which will trigger the creation of “killer T cells” that can engulf and destroy any particles having those peptide sequences on their surfaces), or whether the chunks of foreign protein will be mounted on MHC-2 proteins (which will trigger the creation of antibodies that will bind to those proteins).

Therefore, it is *dendritic cells*, rather than B cells or T cells, which perform the *absolutely essential, crucial, central role in determining what an immune system will respond to, and what it will not respond to.*

Therefore, *if some new type of vaccine can get vaccine particles delivered directly to dendritic cells* – and, if it can somehow “persuade” those dendritic cells, rapidly and reliably, that *THESE* vaccine particles are dangerous, and important, and merit a fast-as-possible launch of an antibody-forming response – that would be a remarkable accomplishment, and something worth serious attention, and careful study.

And, that is exactly what MALT-targeting vaccines can accomplish.

Backing up a bit, dendritic cells deserve a more comprehensive description, to help people better understand what they do, and how they do it.

They do not have eyes, and they cannot see anything; and, they do not have noses, or “olfactory receptor neurons”, so they cannot “smell” things, in the way that animals with noses can smell things. What they use – instead of sight or smell – to find (and then travel toward) whatever they are looking for, at any given moment, is a cellular process called “chemotaxis”. That type of cellular travel uses multiple surface receptors which will be triggered and activated by “chemo-attractant” signaling molecules; and, those surface receptors are positioned at numerous spaced-apart locations, around the entire outer surface of each dendritic cell.

“Chemotactic surface receptors” are specialized proteins which “straddle” a cell membrane, with one portion in an exposed outer location – where it can be contacted by “chemo-attractant” signaling molecules – while another portion is inside the cell, so it can send signals to the biochemical “machinery” inside the cell. In nearly all cases, a dendritic cell (or any other type of “mobile” immune cell) will move in the direction of the highest apparent concentration of “chemo-attractant” molecules, as indicated by signals the cell is getting from the chemotactic surface receptors on whichever side of the cell is getting the most signals, at any given moment.

So, large numbers of newly-created “immature” dendritic cells use chemotaxis to help them locate, and settle into, “docking sites” on the “basal” surfaces of M cells, in MALT patches.

Why do they do that?

Because that is exactly where “immature” dendritic cells need to be, in order to be available, equipped, and ready to “spring into action”, when an “apparently dangerous particle” suddenly pops out of the basal membrane of an M cell, enters that “docking site”, and directly encounters the “waiting arms” of an “immature” dendritic cell.

The word “immature”, when applied to dendritic cells, needs to be explained, lest anyone assume they are not yet full-grown, or mature enough, or strong enough for the tasks they must perform.

None of those things are true; instead, “immature” dendritic cells are full-sized, fully-grown, and fully strong enough to “pick up arms, and march into battle”. However, they have not yet encountered a pathogen particle which is strong enough, and important enough, to change and transform their lives, forever. As an analogy, they are not like immature children who haven't yet reached puberty; instead, they are comparable to young men, aged 20-25, who are living in their parents' basements and whiling away their hours watching TV, texting, surfing the net, and playing video games, because nothing has “grabbed them” in a way that is strong enough to get them to move out, and begin living a “real” life. Accordingly, rather than calling them “immature” – which suggests negative things, including a lack of size, strength, skill, or capability – they could more accurately be called “pre-transformed”, or “pre-committed.”

The reference to “waiting arms of a dendritic cell” also merits a brief digression, to explain and defend it. Despite the absolutely crucial role they play, in launching antibody-forming responses, and in being in charge of telling the B and T cells exactly what antigen sequences they must respond to, dendritic cells were not even discovered, or known to exist, until 1973, when a fellow named Ralph Steinman recognized that a specific cell type that no one had paid attention to, previously, was much more active, and important, than anyone had previously realized. He won a Nobel Prize for that discovery, but not until almost 40 years later, in 2011, and as he remains, to this day, the only person who has ever been awarded a Nobel Prize posthumously.

As the discoverer, Steinman was entitled to name them, and he chose the name “dendritic”, from the Greek root that refers to tree branches, and other “branching”-type extensions that become smaller, as they get farther from their source, and which tend to extend outward, rather than having an appearance like a batch of stirred limp noodles.

However, “dendritic cells” turned out to be an unfortunate name, for several reasons. One problem was that other types of cells (especially neurons) also contain branch-like projections that are also called “dendrites”; and, that overlap and conflict apparently blocked or prevented the emergence of a single-word name (such as dendricytes, or dendrocytes). Accordingly, the term “dendritic cells” became and remains the standard term, and whenever a physician or researcher hears that phrase, they must do a quick but distracting mental check to ask, “Are we talking about neurons, or immune cells, at this moment in time, and in this context?”

In addition, subsequent research (after Steinman had already assigned that less-than-ideal name to them) revealed that their “dendrites” are not actually tubular, and do not resemble the branches of trees. Instead, they have substantial width and flatness, and are more similar in shape to petals on a flower (or leaves on a “succulent” plant), than to branches on a tree. The wider, flatter shape provides the projections with more surface area, which is needed for large numbers of surface receptors, and for surface-mediated activities that are carried out by the cells. However, it also is worth mentioning that any comparison to the shapes of petals on a flower, or leaves on a “succulent” plant, requires yet another qualification. Rather than being firm, engorged, and “reluctant to yield or bend”, they can shrink and collapse, if and when a need arises, and any liquid inside those protrusions apparently can be retracted, into the main cell

body, if a need arises; and, that is yet another important trait and capability of dendritic cells, because that capacity is a crucial part of how they travel, or “migrate”, if and when a need arises. They are capable of using a type of motion called “pseudopod migration”, which is used by amoebas (and also by octopuses, if they are challenged to squeeze through a small hole or gap, to get to a fish or crab). That type of travel enables dendritic cells to squeeze through the lymph-filled gaps between neighboring cells, in soft tissues.

Returning to the main subject, large numbers of newly-created “pre-committed” dendritic cells are actively recruited (via chemo-attractant signaling molecules) to find, and settle into, the “docking sites” on the “basal” surfaces of M cells in MALT patches. Those “docking sites” are the perfect locations for “pre-committed” dendritic cells to go to, so that they will be ready to respond, quickly and directly, when an M cell pulls in an apparently dangerous particle (i.e., having a “pathogen pattern” on its surface), and rapidly transports that particle (isolated inside a phagosomal bubble) through the cell. When the M cell ejects that particle into its docking site (in “naked” form again, after the phagosome holding the particle merges with the “basal” membrane of the M cell), that particle will be delivered directly to the surface of a dendritic cell, which has been patiently waiting for exactly that type of “pathogen delivery,” by the M cell which created (and which controls) that docking site.

When a dendritic cell receives such a particle, it will use a complex and sophisticated set of numerous surface receptors to analyze that particle, and the cell will then “commit” to either of two very different options:

OPTION 1: If the particle appears to be “not really important”, the dendritic cell can simply take it in, break it apart (i.e., digest it), and release its “biochemical building blocks,” so that other cells can use those building blocks for their own nutrition; and then, that “unchanged, not-yet-activated, unmoving, still-immature” dendritic cell can simply wait, without further ado or commotion, in that same docking site, for the next “pathogen delivery” from that M cell;

– OR –

OPTION 2: if the particle appears to be a dangerous and important pathogen which merits a full-scale “antibody forming” response . . . then . . . that dendritic cell will “commit” to an “activation/maturing/transforming” event, which will become a major “life-changing” event, for that “previously immature” dendritic cell.

Accordingly, when a dendritic cell finishes analyzing a particle which has been handed to it by an M cell, the dendritic cell must choose between the two options described above. There are no other, alternate, “partial” or “halfway” options available. A dendritic cell must commit, fully and completely, to either activating, maturing, and leaving that docking site; or, it must remain in place, without making that transition. By way of analogy, when a train leaves a station, a person is either on that train, or not on that train; there are no halfway or partial options available (at least, not for people who are still alive, and have all their limbs still attached).

Therefore, if vaccine particles can be made to *APPEAR* to be extremely pathogenic, dangerous, and important – by placing one or more peptide sequences on them which, in nature, are up at the very highest levels of appearing to be both dangerous, and important – then those peptide sequences can trick and fool dendritic cells into “believing” that those vaccine particles are indeed extremely dangerous and important. And, if that can be accomplished, then those dendritic cells which receive those types of vaccine particles, will rapidly and irrevocably commit to activation, maturing, and leaving that docking site, to go find the germinal center of a lymph node while beginning the process called “antigen presentation.”

And, that is exactly what an effective “MALT-targeting” sequence (as described herein) can do. A good and potent MALT-targeting sequence can (and will) cause surface-exposed M cells, in MALT patches, to actively pull in those apparently dangerous and important particles, rapidly push them through the cell, and eject the particle (in naked form again), directly into their docking sites. Once that has been accomplished, those same MALT-targeting sequences can (and will) cause immature dendritic cells (which are waiting for pathogen deliveries, in those docking sites) to interpret those “pathogen pattern” danger signals in ways that will rapidly drive those dendritic cells into a full and irreversible commitment, to convert into an activated/maturing dendritic cell, which will leave that docking site, and go off in search of a “germinal center” in a lymph node (i.e., a place where T and B cells wait for “antigen-presenting cells” to bring them new challenges).

Those are exactly the types of responses, by exactly the right types of immune cells, that will trigger, launch, and drive the type of antibody-forming response that vaccines particles are designed, and intended, to create.

And, it gets even better than that, for three reasons that can be summarized as:

(i) The “newly activated” dendritic cell will not need to spend hours, or sometimes days, slowly using amoeba-like “pseudopod” travel to squeeze through the narrow lymph-filled gaps between cells, trying to find the inlet to a “lymphatic drainage channel”, which will then (slowly) carry that activated cell to a lymph node. Why not? Because ***MALT patches are already lymph nodes***, which happen to be mounted on the surfaces of mucosal membranes. ***A newly-activated dendritic cell***, when ready to leave a docking site behind an M cell, ***is already fully inside a lymph node***.

(ii) The use of “MALT-targeting” peptides can ***completely eliminate*** any need for using the types of irritating, inflammatory, and toxic additives that are called ***adjuvants***, which are necessary to make injected vaccine more effective. That topic is discussed in more detail, [HERE](#) [[link to www.pgvax.com/t5-irritating-vaccine-adjuvants](http://www.pgvax.com/t5-irritating-vaccine-adjuvants)].

(iii) When these types of ***MUCOSAL*** vaccines are used, they will trigger and drive the formation, ***not just of the standard, typical, Y-shaped “internal” (IgG) antibodies*** that are triggered by injected vaccines, but ***also, an entirely different type and class of “secreted mucosal (IgA) antibody dimers”***, which have very different structures, and very different functions, than internal antibodies. That topic is discussed in more detail, [HERE](#) [[link to next page](#)]

NEXT PAGE: www.pgvax.com/t4-secreted-vs-internal-antibodies

TOPIC 4. ANIMALS USE “SECRETED MUCOSAL ANTIBODIES” TO HELP THEM FIGHT OFF MUCOSAL PATHOGENS. HOWEVER, MUCOSAL ANTIBODIES HAVE ENTIRELY DIFFERENT STRUCTURES AND FUNCTIONS THAN INTERNAL ANTIBODIES, AND THEY ARE NOT TRIGGERED AND CREATED IN RESPONSE TO INJECTED VACCINES.

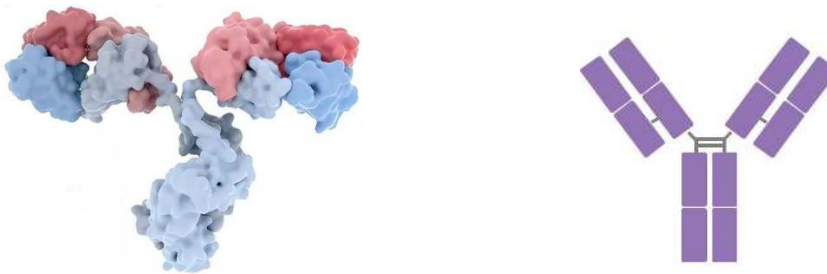
Vertebrate animals have two entirely different types of antibodies, to help them fight off pathogens and diseases.

Actually, vertebrates have six distinct type of antibodies, but most of them are not important, in actually helping fight off pathogens. So-called “IgE” antibodies are generally

unwanted, and are more involved in allergic reactions, than in defending against diseases. In a classic goof-up, the “IgM” name applies to two very different types of antibodies: (i) an extra-large ring structure, formed by coupling together 5 Y-shaped antibodies; and, (ii) a “small, inexpensive, trial-sized” antibody, created only inside lymph nodes, when B cells are creating new candidate antibodies in response to a newly-presented antigen sequence (those extra-small “testing” versions do not leave a lymph node, and are eliminated once a T cell chooses a “winning” B cell and signals it to begin making the full-sized versions). And, a rare type of antibody is called IgD, because it has a “delta” chain. Anyone who wants more info on (or pictures of) any of those can find that info easily, in Wikipedia or via an internet search.

So, that leave two main types of antibodies which actively help fight off pathogens and diseases. The easiest way to grasp a huge and crucially important difference between them, is to realize that:

(i) the “famous” type, usually called IgG (“immunoglobulin Gamma”), which essentially all educated people already know about, have shapes that roughly resemble the letter Y, because they have two “sticky arm” components, attached to a single “stem” component. Here are two ways to illustrate an IgG antibody:



ILLUSTRATIONS OF Y-SHAPED IgG ANTIBODIES, WHICH CAN ONLY FUNCTION INTERNALLY. THE TWO UPPER COMPONENTS CAN BE CALLED “STICKY ARMS”, OR Fv (“fragment variable”), OR Fab (“fragment antigen-binding”). THE “STEM” COMPONENT WAS INITIALLY CALLED Fc (“fragment constant”), BUT WHEN SCIENTISTS REALIZED IT CHANGES SHAPE INTO A SIGNALING MODE, WHEN THE ANTIBODY BINDS TO A PARTICLE, THEY CHANGED THE Fc NAME TO “fragment crystallizable” (TO KEEP THE SAME Fc LABEL)

These Y-shaped antibodies can work effectively, *only INSIDE the body*, because they do not carry any toxins, or defensive weapons, and they are much too small (as a single molecule) to be able to seriously entangle, slow down, or hinder even a virus, let alone a bacterial cell. So, they function by changing the shapes of their “stem portions”, when either of the two “sticky arms” binds to something that looks dangerous.

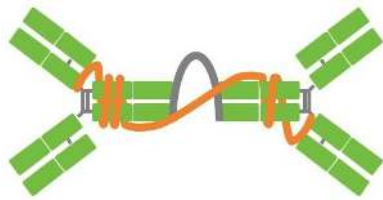
We call that process a “tag and flag” process; the antibody effectively tells an apparent pathogen, “Aha! I found you, and now I've caught you! And so, **TAG!** You are now **IT!**” The sticky arm(s) of the IgG will latch onto the particle, and when the stem portion of that antibody changes shape, it effectively becomes a “flag”, attached to that particle, which begins the process of alerting the immune system that an invading foreign particle has been identified, latched onto by an antibody, and marked.

Next, a special class of proteins (called “complement proteins”) will recognize and bind to a “shape-shifted” stem on an internal IgG antibody. That binding reaction will trigger an

“activation cascade” which will cause the complement proteins to have a “protective cap portion” removed, to expose an active portion. When complement proteins become “activated” – by binding to an IgG antibody which has bound to something – they perform the equivalent of attaching a loud electronic alerting device, with a loud siren and a flashing light, to the shape-shifted stem of an IgG antibody. Any nearby immune cells will recognize and respond to those signals, and they will go to where the shape-shifted antibody, and the complement proteins, have attached to the alien/hostile/non-self/intruding particle.

However, in “secreted mucosal fluids” which are completely outside of any cells or tissues (those fluids include saliva, nasal mucus, digestive juices, lung fluids, fluids in the vaginal cavity and urethra, and fluids that keep the eyes lubricated), there simply are not enough immune cells (or complement proteins) present, in those fluids, to be able to offer any significant help, to any “shape-shifted” antibodies.

Therefore, in a beautiful, brilliant, and elegant feat of evolution, the immune systems of vertebrates somehow figured out (or “evolved with”) a way to strap two antibodies to each other, via their stem components, to create elongated antibody “dimers”, with each “dimer” containing two Y-shaped antibodies coupled to each other, so that each and every dimer contains not just two, but four “sticky arms” (with two at each end of a double-length molecule). Those dimers are called “secreted IgA antibodies” (or related phrases, such as “sIgA dimers”). Here is an illustration of an IgA dimer:



IgA “DIMERS” ARE FORMED BY ATTACHING TWO Y-SHAPED ANTIBODIES TO A PEPTIDE CALLED A “J CHAIN” (SHOWN IN GRAY), INSIDE A “B CELL” WHICH MAKES ALL THREE COMPONENTS. THAT “LIGHTLY ATTACHED” DIMER IS SECRETED BY THE B CELL, THEN IT IS PULLED IN BY A RECEPTOR PROTEIN ON THE BOTTOM (“BASAL SURFACE” OF AN EPITHELIAL CELL. PART OF THAT RECEPTOR (SHOWN IN ORANGE) WRAPS AROUND THE STEM COMPONENTS AND J CHAIN, TO CREATE A STRONGER DIMER, WHICH IS THEN SECRETED BY THAT EPITHELIAL CELL INTO SALIVA OR MUCUS. THE “DOUBLE-STICKY DIMER” THEN PERFORMS A “GRAB AND DRAG” PROCESS, ON PATHOGENS.

Since a “tag and flag” function is useless, in secreted mucosal fluids with no immune cells around, the **MUCOSAL** immune system developed a “grab and drag” process instead. If even a single sticky arm segment can latch onto some foreign particle, the antibody will effectively block and prevent that particle from burrowing, tunneling, or otherwise penetrating into any mucosal cells or membranes. Instead, the IgA antibody will forcibly drag that particle down into the stomach acids (which will kill nearly all microbes), if they connected inside the mouth or nasal cavity; or, the antibody will keep that particle suspended in the liquefied and then semi-solid mass of food that is passing through the intestines, until the particle and the antibody get “pooped out” and eliminated from the body; or, if they connected inside the windpipe, bronchial tubes, or lungs, they will be coughed up, and either spit out, or swallowed.

Most people have never even heard of “secreted mucosal antibody dimers”, and they have no idea that they even exist. However, here is an absolutely crucial fact, which can help people better understand how important they are:

In just the 3 to 5 pounds of secreted mucosal fluids that a typical human adult is carrying, at any given moment, there are MORE THAN TWICE AS MANY SECRETED IgA ANTIBODIES, THAN ALL OF THE Y-SHAPED IgG ANTIBODIES IN THE ENTIRE REMAINING BULK AND WEIGHT, OF THAT PERSON.

In summary, because of the factors described in Topic 1, the number of pathogens that infect animals by penetrating into a “mucosal membrane” is hundreds of times larger and more populous than the second-largest category of pathogens (i.e., “blood-borne” pathogens, usually transmitted by insect bites). So, driven by constant and pressing needs, mammals developed a specialized “mucosal” immune system, which operates in ways that are almost entirely separate from, and independent of, the internal immune system. The immune system figured out how to create IgA dimer antibodies, and how to secrete them into the mucosal fluids that are no longer inside any cells or tissues (for anyone interested in more details about HOW they are made and secreted, in ways that go deeper than the figure caption above, download the patent application section available from this website, and search for the words “J chain” or “secretory component”). Then, once those sIgA antibody dimers have been created and secreted, they are entirely on their own, and they will get no more help from any other immune cells or components.

However, there are two unhappy and difficult facts, about *injected* vaccines, which everyone should know about.

The first unhappy fact is that injected vaccines do **NOT** trigger *mucosal* antibody formation, except perhaps as rare, sporadic, and unreliable side effects. As described on the next page, there simply has not been any good way, before now, to create vaccines that can safely and reliably trigger *mucosal* antibody responses, against any antigen sequences that are “loaded onto” those vaccine particles.

The second unhappy fact is this: in order to make injected vaccines more potent and effective, the complete injectable formulations must include harsh and unpleasant chemical additives, called “adjuvants”. The reason why adjuvants must be “necessarily nasty” compounds, which are used to deliberately irritate and inflame the cells and tissues at the site of an injection, are described on the next page. And, it is hoped and believed that the “MALT-targeting” approach to creating mucosal vaccines can entirely bypass, and eliminate, any need to include any harsh, inflammatory “adjuvants” in the final formulations. If that can be accomplished, it will provide a major and much-appreciated step forward, to a point where MALT-targeting vaccines might earn and deserve the label of “Post-Adjuvant Vaccines”.

NEXT PAGE: www.pgvax.com/t5-irritating-vaccine-adjuvants

TOPIC 5. WHAT ARE “VACCINE ADJUVANTS”? HOW DO THEY WORK? ARE THEY INTENTIONALLY INFLAMMATORY, AND IRRITATING? ON BALANCE, ARE THEY GOOD, OR BAD? HOW AND WHY DO “MALT-TARGETING” VACCINES AVOID ANY NEED FOR ADJUVANTS? IS IT REALISTIC TO HOPE FOR “POST-ADJUVANT VACCINES”?

As a general definition, “adjuvants” are things that are added to vaccine formulations, to make them more effective and potent; and, to clarify that definition, they generally must rise above the level of being mere “excipients” (i.e., the types of pharmaceutical additives, such as

diluting, liquefying, anti-caking, preservative, or other agents that help make the active ingredients of a drug function better), and must rise to a level that experts refer to as “immunostimulatory”. And, anyone interested in this topic also should realize that there are a number of “gray areas” (or grey areas, in Britain), where it isn’t clear whether some particular compound should be regarded as a mere excipient, or as an actual adjuvant. As an example, if a topical mucosal vaccine contains an agent called a “muco-adherent”, which will cause vaccine particles in that formulation to cling more tightly (and/or for a longer period of time) to a mucous membrane, that agent might be called either an adjuvant, or an excipient; and, various phrases like “adjuvant-like activity” also are used, to deal with those types of “grey areas”.

However, rather than becoming entangled in or distracted by those “grey areas”, a clear focus needs to be placed on a very unpleasant truth, which lurks behind the “immunostimulatory” label that is commonly used to describe and defend adjuvants.

That highly unpleasant fact is this: essentially all adjuvants that are added to injected vaccines are, in truth, **deliberately and intentionally irritating, inflammatory, distress-causing additives**. Stated in other words, they are harsh, unpleasant, and “necessarily nasty” chemicals. Why? Because of a reason that no one has been able to overcome, or avoid, or eliminate, before now. Their goal, their role, their job, and their assigned task, is to rapidly and seriously irritate the muscle cells, at the site of an injection. Why? So that those distressed muscle cells will rapidly begin sending out “distress signals” (in the form of messenger molecules called “cytokines”), which will attract and recruit mobile immune cells to hustle over, as quickly as possible, to the site of the injection, so that the immune cells will be able to begin processing the vaccine particles, as quickly as possible, before those particles (and the antigen sequences they are carrying) can be seriously diluted, diffused, or degraded, by the normal cell and tissue repair processes at the site where the vaccine was injected.

A huge portion of the opposition to vaccines, among people who are often labelled “anti-vaxxers”, actually arises from the unpleasantness of the adjuvants which must be added to injected vaccines, in order to protect as many people as possible, whenever a large population is inoculated.

However, because of how “MALT-targeting” vaccines are designed, and because of how they function, we believe that they can and will completely eliminate any need to add any harsh and unpleasant “adjuvants” to them, to make them effective; and, if that goal can be accomplished, it will be so important, and so widely welcomed and appreciated, that these new classes of vaccines may well be called “Post-Adjuvant Vaccines.”

So, in answer to the question posed above – “On balance, are they good, or bad?” – the best answer would seem to be, “Well, they were good, when (and because) they were necessary, to help protect more animals and more people, against diseases. However, if and when they become no longer necessary, because of some scientific and medical discovery or advance that offers a *BETTER* way to reach that good result, then they will move into the ‘Bad, on balance’ category.”

NEXT PAGE: www.pgvax.com/t6-mucosal-vaccine-failures

TOPIC 6. (A) THERE ARE MORE THAN TWICE AS MANY SECRETED MUCOSAL ANTIBODIES, IN JUST A FEW POUNDS OF MUCOSAL FLUIDS IN A HEALTHY ADULT, THAN ALL OF THE INTERNAL ANTIBODIES IN THE ENTIRE REMAINING WEIGHT AND BULK OF THAT PERSON. THAT IS A POWERFUL INDICATOR THAT

MUCOSAL ANTIBODIES ARE VERY, VERY IMPORTANT, IN FIGHTING MUCOSAL PATHOGENS.

(B) HOWEVER, BEFORE NOW, THERE WERE NO RELIABLE, NON-TOXIC WAYS TO USE VACCINES TO GET THE MUCOSAL PORTION OF AN IMMUNE SYSTEM, TO CREATE SECRETED MUCOSAL ANTIBODIES.

(C) MALT-TARGETING VACCINES APPEAR TO BE FULLY CAPABLE OF CHANGING THAT, IN WAYS THAT WILL CREATE NOT JUST ONE, OR TWO, BUT THREE MAJOR ADVANCES IN VACCINES

The previous pages in this website describe a number of specific points which now need to be assembled into a larger, cohesive structure or system:

(1) Nearly all pathogens have evolved in ways that enable them to attack and invade mucosal membranes, and they are (by far) the most important and numerous class of pathogens on this planet;

(2) The internal immune system simply is not designed, equipped, or suited, to deal with pathogens that can reproduce – usually, very rapidly – entirely within a single cell, in the outermost surface layer of a mucous membrane;

(3) Therefore, to help fight off such pathogens, vertebrate animals evolved with “mucosal immune systems” that function independently of the internal defenses, and use secreted mucosal IgA antibody dimers which are very different from internal IgG antibodies, in both shape and function;

(4) There are more than twice as many mucosal antibodies, in just the few pounds of secreted mucosal fluids in a healthy adult, than all of the internal antibodies in the entire remaining weight and bulk of that person; and,

(5) The huge number of secreted mucosal antibodies is powerful and even compelling evidence that mucosal antibodies are hugely important, and valuable, in fighting off mucosal pathogens.

All five of those points directly support an entirely logical conclusion: if any types of vaccines could trigger the creation of good and effective *secreted mucosal antibodies*, those vaccines (and the antibodies they would help create) could be very useful, and helpful, against numerous different types of pathogens (especially pathogens that attack the “upper respiratory tract”, such as influenza, COVID, and “common cold” viruses).

However, the sad and troubling fact is that, under the “prior art” (i.e., before the scientific community becomes aware of “MALT-targeting” vaccines), there are almost no “really good” mucosal vaccines; and, there is almost no research currently being done on mucosal vaccines, because the only types of “adjuvants” which can make them work potently, in test animals, are too dangerous, and too toxic, to allow the results of any such research to be transferred over, to possible use in humans.

Here are four facts that can help illustrate, and explain, the limited and inadequate status of mucosal vaccines, prior to any announcements about MALT-targeting vaccines.

1. Even though there are hundreds (or thousands, depending on how different strains and variants are classified) of different mucosal pathogens, a recent review article dedicated entirely to mucosal vaccines (Rhee et al, “Mucosal vaccine adjuvants update,” *Clin Exp Vaccine Res 1*: 50-63 (2012)) listed all of the commercially available human mucosal vaccines that the authors could find information about. However, that listing took less than a single paragraph, and it didn’t even merit a table, to list them.

2. The so-called “FluMist” vaccine, which is administered via a nasal spray, usually reaches only about 30 to 40% efficacy, each year. Those numbers mean that 60 to 70% of the people who get that vaccine, still become infected by serious cases of influenza, each year, despite being vaccinated by it.

3. The most recent attempt to create a new nasally-delivered vaccine occurred in Switzerland, where a mucosal influenza vaccine was put into human use. However, even though it had performed well in animal tests, it ended up causing some of the human recipients to suffer a neurologic affliction called “Bell’s palsy,” which creates a partial paralysis of the facial muscles, usually on either the left or the right side of the face. As such, it can lead to strange, unsettling, sometimes bizarre, and in some cases grotesque and genuinely frightening facial expressions, among sufferers. That vaccine had to be withdrawn from the market when those cases began to appear, and the manufacturer was driven out of business, by the costs of the liabilities it was facing. That event is well and widely known, among vaccine experts, and it powerfully discourages any companies or researchers that might otherwise be tempted to launch an expensive research project into any other potential mucosal vaccine, no matter how promising it might be.

4. It appears that the only mucosal vaccine which has actually been commercialized for human use, since the year 2000, is an orally-ingested vaccine against “rotaviruses” (which create severe digestive problems among infants, in tropical regions). However, the first attempt to release that vaccine led to major problems, and that first version had to be withdrawn, after it began causing a severe and potentially fatal intestinal problem called “intussusception” – which must be corrected surgically, as quickly as possible – in some of the infants who received that vaccine. The sponsoring company re-designed and re-tooled that vaccine, by reducing the number of rotavirus antigens the virus particles carried (which presumably made it somewhat less effective). It is now being sold under the trademark ROTA-TEQ (TM).

More information to support and explain the facts listed above (and which further discusses the severe inadequacies of currently-available mucosal vaccines) is contained in the “Background Section” of a pending (but not yet published) patent application, which can be downloaded via a button at the bottom of the Home page.

Accordingly, mucosal vaccines simply are not being actively and successfully developed, for human use; and, vaccines which are injected almost never lead to mucosal antibody formation. That has created a huge and unmet need, for better methods for creating, not just vaccines that can be APPLIED topically to mucous membranes, but which will actively trigger the formation of secreted mucosal antibodies, when applied in that manner.

However, based on everything we have seen so far, in the tests we have done to date, and based on what we know about how M cells, dendritic cells, and MALT patches function, we believe and assert that mucosal vaccines with large numbers of a selected antigen, and small numbers of potent MALT-targeting sequences, can trigger and drive the formation of **BOTH**: (i) **internal** IgG antibodies, **AND**, (ii) **secreted mucosal** IgA dimers, which will bind – tightly, and selectively – to pathogens which have those antigen sequences on their surfaces.

THAT claim (i.e., as stated directly above) is being made with a fairly high level of confidence, based on “antibody production tests” that have been completed to date. In absolutely every animal tested to date – in which their saliva and blood samples were tested for both internal IgG antibodies in blood, and secreted IgA antibodies in saliva, using both ELISA tests, and SDS/PAGE-Western blot tests – a single nasal infusion of droplets containing (filamentous *Inovirus*) phage particles carrying both a MALT-targeting transport sequence (in low numbers),

and a well-known and easily tested antigen sequence (the HA-tag epitope sequence, in large numbers), triggered the “robust” formation of both secreted IgA dimers in saliva, and internal IgG antibodies in blood serum.

As this is being written, we do not yet know how they will perform, in “pathogen challenge tests”. The first such tests (now underway) are using engineered T7 phages, carrying about 400 copies/particle of the FI-6 antigen from influenza (described in Corti et al 2011; also see the NIH Epitope Database at www.iedb.org/epitope/162644) and about 40 copies of the MALT-targeting sequences we selected for the first “antibody production” tests. They will be performed in Balb/c mice, using an influenza strain called A/California/7/2009, which is widely used in mouse research. The results should be available by late April 2026.

Even though we do not yet know the results of the pathogen challenge tests, we feel fully justified in stating that every immunologist, every vaccine research team, every vaccine company, the faculty and students at every veterinary school, and every federal or state agency that has any active interest in vaccines, should at least become aware of the MALT-targeting approach to designing vaccines, and should begin learning, now, about the science, the logic, the reasoning, and the immune cell activities that have supported and guided this work so far. Regardless of whether the results from the first sets of pathogen challenge tests show positive results, or whether they point out how many problems still need to be solved before MALT-targeting vaccines can become practical and approved for use in animals such as poultry, pets, or livestock, the simple fact is that if more people, and more companies, become interested and involved in this research, sooner rather than later, the benefits and cost savings will begin appearing sooner, rather than later.

So, we do not make the following three predictions as confident claims, but as reasons why people who are already working with vaccines should become interested in, and aware of, the “MALT-targeting transport peptide” options that can be used to improve mucosal vaccines. Based on everything we have seen, learned, and shown to date, we hope and believe that the first two predictions below will be proven in animal usage, and will become reliably “do-able”, within the next five years:

1. Mucosal vaccines carrying MALT-targeting sequences will be shown to be fully capable of triggering and driving the formation of, not just internal IgG antibodies, but also, “secreted mucosal IgA antibody dimers”; and, since they can provide a balanced, bi-functional, “two-handed” immune response – both internally, and in mucosal secretions – these vaccines will be able to provide better protection than any other vaccines that are available as this is being written . . . especially against upper respiratory tract infections.

2. Mucosal vaccines carrying MALT-targeting sequences will be shown to be capable of eliminating any need for using the types of inflammatory and irritating “adjuvants” which, today, are used to make injected vaccines more effective. As mentioned on a prior page, most “adjuvants” work by causing muscle cells, at the site of an injection, to rapidly begin sending out distress signals (cytokines), which will recruit nearby mobile immune cells to come to the site of the injection as quickly as possible, before the vaccine particles can be diluted, diffused, or degraded. Accordingly, we believe MALT-targeting mucosal vaccines will end up creating a new generation, and a new era, of vaccines and vaccine technology, which might be called “Post-Adjuvant Vaccines”, and/or “Precision-Guided Vaccines”.

3. In addition to the two predictions above, which can be established by vaccines in non-human animals, we also predict that, when MALT-targeting mucosal vaccines become available for human use, they will be able to eliminate any need for injections, needles, and the types of

hazardous and dangerous medical wastes that are created when needles are used. Instead, “preferred” modes of inoculation are likely to become social events, in which bowls of lollipops (with vaccine particles embedded in a hard-candy matrix) are passed around, by nurses or even just administrators, at places like senior centers, community centers, schools, churches, walk-in clinics, drugstores, offices and other workplaces, etc.; and, we also predict that usage and inoculation rates will increase, by substantial and possibly large (“very large”?) percentages, when compared to COVID vaccination levels in the early 2020s.

Among other advantages, candy-flavored lollipops, on “sticks”, can be sucked until halfway dissolved, and then placed in some type of small, simple, convenient storage device for several hours, to provide enough time for newly-activated dendritic cells get “saddled up” and leave their M cell docking sites, and to then allow a “new crop” of immature dendritic cells to locate and settle into the M-cell docking sites that were vacated when the “first wave” of dendritic cells became activated, and left those docking sites. In other words, a simple “lollipop or lozenge” delivery system can provide a “sustained release” mode of administration, which can be substantially more effective than a “one-time, single-shot” dosage.

NEXT PAGE: www.pgvax.com/t7-phages-phage-libraries

TOPIC 7. WHAT ARE PHAGES, AND “PHAGE LIBRARIES”? AND, HOW ARE “SCREENING TESTS” USED TO IDENTIFY AND ISOLATE THE “WINNING PHAGES” IN A COMPETITION?

This topic (and the next page) are included, to reassure any vaccine companies or researchers who might be interested in MALT-targeting mucosal vaccines that we did indeed do the work we claim to have done, and that this isn't some effort to defraud or swindle anyone. It requires, first, a brief summary of phages, and what they are (and, that requires a bit of history). That is followed by a brief summary of what “phage display libraries” are, and of how “screening tests” can be thought-up, and then used, to identify which particular phage particles, out of millions of “candidate” or “contestant” particles, happen to be carrying a foreign insert peptide which will cause those particular particles to be treated and processed in some particular way, by some particular type of cell, or tissue type, or animal.

That is “starting-point” information, to get someone ready to understand what is on the next page. That page describes the specific screening test we created, and used, to identify those particular phages (from among a billion candidates/contestants) which happened to be carrying foreign insert peptides which triggered and drove both M cells, and “immature dendritic cells”, in MALT patches, to “determine” that those particular phages were so dangerous, and so important, that they would cause a full-scale antibody-forming response to start up and move into action, as quickly as possible.

WHAT ARE “BACTERIOPHAGES” (WHICH ARE NOW CALLED JUST “PHAGES”)?

As a very brief introduction to “phages”:

1. People had been experimenting for hundreds of years with various types of lenses, including “magnifying lenses”, when the Dutch fabric merchant Van Leeuwenhoek became interested in trying to make them better, in the 1670s, so that he could more closely examine the thinnest, tiniest threads in the fabrics he handled. Once he got started, he kept refining and

improving his magnifying lenses, until he could clearly see (in samples of water, rather than fabrics) microbes that actively moved, which people initially called “animalcules”.

2. Within a few decades, after seeing and categorizing numerous types of bacteria and other microbial cells, scientists realized that there was an entire category of microbes that were infective, somehow, but which were too small to be seen by even the best light microscopes of that era. Those came to be called “viruses”, after the Greek root word for “virulent”. Until the 1930s, when electron microscopes were invented and scientists could actually “see” and begin to seriously study viruses, no one knew what viruses were, or how they could reproduce.

3. In the 1890s, scientists realized that there was some type of “virus”, in some of the rivers in India, which could kill and inactivate the bacteria which caused cholera; and not long afterward, a different scientist discovered a similar “virus” that could kill and inactivate the bacteria which caused dysentery. When World War I began, the French armies were the first to develop liquid drinks carrying those viruses, which they fed to their troops, to “immunize” those troops against cholera and dysentery.

4. As scientists began looking for and finding other viruses that could attack and destroy other types of bacteria that caused other diseases, they realized that each such virus could attack only a very specific and limited class of bacteria. So, they named that entire category of viruses “bacteriophages”, from the Greek root “phage”, which translates into “aggressive eating”, comparable to “devour” (as opposed to just “nibbling”). Later, “bacteriophages” was shortened to just “phages”.

5. The noun “phage” has come to refer to any virus which: (i) can infect only some limited group, type, or class of bacterial cells; and, (ii) is classified as “non-pathogenic”, and not dangerous, since phages cannot infect plants or animals, in any way. The search for new and additional types of phages became active and motivated in the early 1900s, because “phage therapy” grew into a major and crucial branch of medicine, before the advent of sulfa drugs and then penicillin. If someone was infected by some particular type of bacterial pathogen, the scientists and physicians of that era could usually figure out what type of bacteria it was, and they would administer, directly to the infected site, a batch of phages which could kill that type of bacteria. That approach has recently come back into favor, to help fight certain types of antibiotic-resistant microbes.

PHAGE LIBRARIES (aka PHAGE DISPLAY LIBRARIES)

In the 1970s and 1980s, a group of scientists (led by Prof. George Smith, at the University of Missouri, who later won a Nobel Prize for that work) began developing new and clever ways to work with a specific class of phages called “*Inoviridae*” (aka *Inoviruses*). They are phages with extremely small genomes, which infect *E. coli* cells, and which have “filamentous” shapes that enable them to wriggle out of pores they create in *E. coli* cell membranes, without killing their host cells (which greatly reduces the number of fragments from dead cells, and makes *Inovirus* phages easy to purify and use). They also go through a double-stranded “replicative phase”, which enables them to be handled and treated just like plasmids. And, they form the “capsids” (or shells, sleeves, etc) which enclose their single-stranded DNA, by simply continuing to add more and more of the small brick-like “coat protein 8” (cp8) proteins to a capsid that is being formed, until the “tail end” of the DNA strand reaches the assembly site; that is also highly useful, since it allows exceptionally long foreign inserts to be added to *Inovirus* phages.

All of those useful and helpful factors were combined, in *Inovirus* phages, and that is why Smith and his research team selected *Inovirus* phages as their starting point, and raw material, when they began trying to figure out how to create “phage display libraries” (which now are also called simply “phage libraries”).

Summarized briefly, Smith and his team (and other research groups that later became involved, and contributed additional useful methods) figured out how to insert a short DNA segment, created by “random chemical synthesis,” into a single specific site, in the genomes of *Inovirus* phages, in a way that would cause the altered DNA strand to encode and create engineered proteins which are carrying a small additional segment of also-random amino acids. The most popular and widely used phage libraries that are being sold today carry a set of 12 amino acids, as a “foreign insert”, added to the outer tips of the long cp3 proteins, which function like the tentacles of squids that are hunting for food in the deep ocean, where there is no light. Each phage particle has 5 copies of the cp3 proteins, but all five copies are encoded by a single cp3 gene, so all five tentacle-like cp3 proteins, on any specific phage particle, will have identical copies of the foreign insert peptide which happens to be carried by that particular phage particle.

The work required to create really good phage libraries took decades; but, now that that work has been completed, and now that companies can make them quickly and efficiently, using computer-controlled machines, anyone can buy a phage library with about a trillion different “candidate” particles, all in a single small tube, for less than \$800 (e.g., www.neb.com, catalog number E8210S, which is a “kit” that also includes monoclonal antibodies and magnetic beads, all for \$719 as this is being written).

WHAT ARE "SCREENING TESTS", AND HOW ARE THEY USED?

The “trick” to using any phage display library comes in thinking up some new and useful type of “screening test”, which will somehow identify which particular phages – out of thousands or millions of candidates/contestants, in a small “aliquot” of a liquid suspension of phage particles (i.e., a quantity of liquid having a known and specific volume, which will contain some known number or portion of the molecules or particles, taken from a larger batch of that liquid), will be taken in and processed, in some particular way that is of interest, when all of the particles in that aliquot are treated in a certain way. Almost all “screening tests” will create some type of “fair competition” between the particles, such as by contacting all of the candidate/contestant particles with a specific type of cell or tissue, and seeing which particles are pulled inside those cells (or, as alternate examples, by passing an aliquot of particles through an “affinity column” or other device; or, by infusing or injecting them into a lab animal, and then looking to see which ones reach some particular targeted cell or tissue type).

The basic rule of “screening tests” is that no one can predict, in advance, which particular particles will be able to do “the XYZ trick”. So, if a scientist hopes to isolate and identify those few particles which can perform “the XYZ trick,” s/he will need to figure out two things: (i) how to pit millions of phages against each other, as “candidates” or “contestants” in a fair competition; and, (ii) what type of isolation or purification process the scientist can use, to identify (and, usually, to isolate, preferably in a still viable and reproductive form) those specific phages which happened to be carrying a foreign insert which enabled them to become “the winners” in that competition.

So . . . now that that starting-point information has been explained, the next page will describe the specific steps, and the specific screening tests, that were created and used to identify

those few phages which happened to be carrying randomly-generated foreign peptide inserts that can function as potent “MALT-targeting” peptide sequences.

NEXT PAGE: www.pgvax.com/t8-the-ccr7-screening-test

TOPIC 8. HOW DID WE “SCREEN” LITERALLY A BILLION DIFFERENT PHAGES, TO IDENTIFY AND ISOLATE LESS THAN 100 WHICH WERE CARRYING POTENT MALT-TARGETING SEQUENCES?

This page describes the screening test that was created and used to isolate those few phage particles, out of a billion candidates, which happened to be carrying foreign insert peptides which can function as potent “MALT-targeting” sequences when added (in small numbers) to mucosal vaccine particles.

To better understand the challenge, and how it was met, the reader needs to understand that the goal was to identify, and isolate – in still-viable, still-reproducing form – those few phages which were able to trigger – and then drive, all the way to completion – not just one, but an entire series and sequence of four different and distinct steps, involving not just one but two entirely different types of immune cells. Those steps can be summarized as:

(i) an M cell on the outer surface of a MALT patch needed to pull – into the cell – a phage particle carrying a “winning” foreign insert peptide; and, that phage particle had to be inside a special type of membrane bubble, called a “phagosome”;

(ii) the M cell then had to perform a special process (which can be done only by M cells) called “trans-cytosis”, which means that the M cell had to rapidly push, pull, and hustle the phagosome containing that phage all the way through the cell, and then mash that phagosomal bubble against the “basal” outer membrane of the cell, so that the phagosome membrane, and the cell membrane, would merge together (which happens, because both membranes are made of exactly the same types of molecules), in a way which would expel the phage particle out of the M cell, in “naked and exposed” form again, into a special pouch (called a “docking site”) on the basal side of the M cell. And – equally important – that transfer and expulsion process had to be completed before a different type of organelle, called a “lysosome” (containing high acidity, and aggressive digestive enzymes) managed to merge with the phagosome (that's what happens to nearly all phagosomes, as part of how cells break apart and digest any particles they pull in);

(iii) the docking site had to already contain an “immature” dendritic cell, which needed to be waiting for a “pathogen delivery” by the M cell which created and which controlled that docking site; and,

(iv) the immature dendritic cell had to use its surface receptors to analyze that particle, determine that it appeared to be a dangerous and important pathogen, and irrevocably commit to maturation (aka transformation, activation), which required it to move its CCR7 receptors to its outer surface.

It also must be emphasized that the concepts and means to achieve these goals didn't simply fall or move into place, quickly, or logically. Instead, the scientist who did this work had spent nearly 4 years as a full-time employee, with this project as his only assignment, before he finally realized how to create this screening test, and then actually used it successfully.

So, the steps described below eventually came together, and formed not just one, but two different “rounds” of screening tests. The first round was a big step forward, which could purify (or at least greatly enrich) the numbers and concentrations of phages that had been taken inside

dendritic cells, or which at least were clinging to the surfaces of dendritic cells, while being analyzed by those cells. Then, when that set of tests was nearing completion, the scientist realized how he could create an even better screening test, and how he could use the phages he had already isolated, from the first round of tests, in a way that enabled him to evaluate and rank how well, and how potently, the different candidate peptide sequences could perform each and all of the desired tasks.

That two-cycle process can best be explained, by describing the first round of tests under the heading, “The CD4 Receptor Tests”, and describing the second round under the heading, “The CCR7 Receptor Tests”.

ROUND 1: The CD4 Receptor Tests (isolated any dendritic cells – immature, or activated)

STEP 1: We purchased a high-quality “phage display library” from New England Biolabs (catalog number E8210S), having roughly a trillion total phages from the filamentous *Inovirus* class, with randomly-generated foreign inserts (12 amino acids long) at the outer tips of their long tentacle-like CP3 proteins;

STEP 2: Liquid droplets with about 20 million phages/animal were slowly “infused” into the nostrils of a sedated mouse, via a micro-pipette (50 mice were used for that stage, for a total of a billion candidate particles). That allowed the particles to enter the nasal airways and contact the MALT patches (which are in a well-known location, in those nasal airways).

STEP 3: After giving the mucosal cells enough time to take in and process any particles they chose to take in, but not enough time for the dendritic cells to break apart and digest the phage particles, the mouse was painlessly euthanized, and ice-cold saline was injected into the aorta, under mild pressure, to slow down any digestion of the phages by cells, without killing the cells. The cold saline emerged from the cut ends of the vena cava (the upper and lower main veins that return oxygen-depleted blood to the heart), pooled in the chest cavity, and was suctioned out. A “transverse skull section” was created, which exposed the MALT patches in the nasal airways. Surface and near-surface cells from the MALT areas were harvested, using a very thin brush with gentle lateral pressure, under a binocular microscope.

STEP 4: The harvested cells from the nasal lining were processed, to isolate any cells with CD4 receptors on their surfaces (which includes dendritic cells). This process used tiny metal beads with enough iron content to be attracted to magnets. “Activated complement proteins” were coupled (indirectly but firmly) to the beads, since CD4 receptors bind to those proteins. Cells which became firmly coupled to the beads were purified by using a magnet to pull the beads into a clump, located halfway up a vertical column of liquid, pressing against the inside wall of the tube which held the suspension of beads and cells. All liquid (and any unwanted cell debris and other particles) below “the magnetized clump” were suctioned out of the tube, and the magnet was then pulled away, to release the beads, which were then resuspended in a fresh batch of liquid cell medium. That “washing” process was repeated three more times, to obtain a highly enriched set of dendritic cells.

STEP 5: The membranes of the enriched dendritic cells were broken apart, using a detergent which dissolves cell membranes, but not proteins (which cover and enclose the phages). That released any phages which had been pulled inside those dendritic cells, or which were clinging to the surfaces of the dendritic cells. Those phages were “plated” at low density on “lawns” of fresh host cells, on agar plates. “Clonal colonies” of the “First Round Winner Phages” were selected, and reproduced in fresh batches of host bacteria.

ROUND 2: The CCR7 Receptor Tests (isolated only those dendritic cells that committed to activation/maturation)

The first screening round, described above, isolated 145 different “First Round Winner” phages. However, while that work was being done, the scientist doing that work continued to study and learn more about how dendritic cells change, when they shift from “immature” to “antigen-presenting” cells. As a result of that inquiry, he realized there was a way to design and run a better screening test, which would *NOT* select *any and all* dendritic cells, and which, instead, could select only those dendritic cells which had been given a phage particle carrying foreign protein sequences which made that phage particle appear to be dangerous enough, and important enough, to merit an antibody-forming response. In other words, he figured out how to create a screening test which could isolate only those dendritic cells which had irreversibly committed to “maturation” into mobile “antigen-presenting cells” that would leave the docking site of an M cell, and begin looking for a gathering of T and B cells in a “germinal center” inside a lymph node.

He created that screening test, by figuring out how to use and exploit the following fact: when dendritic cells “commit” to activation/maturation, they move multiple copies of a special receptor, called the CCR7 receptor, from inside the cell, to the outer surfaces of the cell. After those receptors reach the outer surfaces of a dendritic cell, they can be contacted by a chemo-attractant cytokine called CCL19, which is being slowly and constantly released by T cells located inside the “germinal centers” of lymph nodes. Activated dendritic cells will always travel in the direction of the highest number of signals they are receiving, from the CCR7 receptors distributed all around the surface of the cell. By “climbing the gradient” (i.e., always moving in the direction of the highest concentration of CCL19 molecules), activated dendritic cells will eventually find, and enter, the places where those CCL19 molecules are coming from . . . which is where T cells and B cells are gathered together, inside lymph nodes, waiting for a mobile immune cell to “present” an antigen to them, so that they can begin working to create antibodies which will bind to that antigen.

Rather than starting over and putting the 145 “First Round Winner” phages into a freezer for storage, the scientist realized how he could use those “Winners” to create a “potency ranking” which would indicate the best and most potent performers, from among those 145 phages. That led to the following steps . . .

STEP 6: Two mixed batches of phages were created, with one batch containing 72 of the 145 “First Round Winner” phages, and the other batch containing the other 73 “First Round Winner” phages, all in roughly equal numbers. The concentration of particles in each of the 145 starting batches was measured (by using light absorption at 280 nm wavelengths), and concentrated starting batches were diluted, when necessary to provide roughly equal numbers of each starting batch, in the two large mixtures.

STEP 7: While that was being done, the scientist also created a set of “dual chamber” separators, by using cement and rubber gasket rings to glue a microporous filter disk into a plastic tube, which was created by sawing off a segment of a plastic pipette. The pipettes that were used had outer diameters which enabled each cut-off segment to fit comfortably, when lowered into one of the wells on a multi-well ELISA plate. An aliquot of liquid with a supply of the CCL19 chemo-attractant was placed in the bottom of each chamber, along with a small

number of medium-sized glass beads, to enable and promote better diffusion of the CCL19 molecules upward through the liquid, and up through the filter as well, into the upper chamber of that separator device

STEP 8: Droplets containing 20 million phages/animal were inserted into the nostrils of each mouse, as described above; 90 minutes were allowed to pass, to give the mucosal cells time to begin handling any dangerous-looking phages but not digest or dismantle them; the mouse was painlessly euthanized; ice-cold saline was injected into the aorta, to slow down cell activity and phage digestion; a skull section which exposed the MALT patches in the nasal airways was created; and, a mixture of mucosal surface and near-surface cells was harvested, using a thin brush, as in Round 1.

STEP 9: A quantity of liquid suspension containing harvested phage-exposed mucosal cells was pipetted into the dual chamber device, on top of the filter disk, so that the cells would settle downward, through the liquid, and come to rest on the top surface of the micropore filter disk.

The filter disks that were chosen and used, for this separation step, have pore diameters of 5 microns. Most animal cells have diameters of about 10 microns (dendritic cells are even larger, with their multiple petal-like surface extensions). Because they are larger than the pores, most types of animal cells cannot squeeze through a 5-micron pore, in a filter. However, because activated dendritic cells need to be able to travel and permeate through soft tissues (albeit slowly), by using the same type of “pseudopod” motion that amoebas use (octopuses can also do it), activated dendritic cells can squeeze through 5-micron pores. But, they will do so, *only if they are motivated to do so*. And, they are absolutely driven, and compelled, by their nature and by “what they were born to do”, to do whatever they need to do, to travel in the direction of the highest concentration of CCL19 chemo-attractant molecules that their CCR7 receptors are detecting.

Therefore, dendritic cells *which had already moved their CCR7 receptors to their outer surfaces* – in other words, only those dendritic cells which had irreversibly committed to activation, transformation, and maturation – were the only cells that were both able, and motivated, to squeeze downward, through that 5-micron filter, to get to the bottom chamber, where the concentration of CCL19 was highest. So, everything above the filter was discarded, and the cells below the filter were collected, and broken apart, to release the phages which those dendritic cells were carrying. They were plated on agar, to create clonal colonies, and their foreign DNA inserts were sequenced, using “Polymerase Chain Reaction” (PCR) and Sanger sequencing. The sequence listings were then sorted, using a computer, to determine which sequences appeared most frequently, among the “Second Round Winners”.

To give the initial tests the best possible chance of success, we selected not just one, but the three “top performers” which did not contain any cysteine residues (to avoid possible complications involving “disulfide bond” formation, which will alter the shapes of proteins). Since there is enough room, in the cp3 proteins of Inovirus phages, to add foreign inserts up to roughly 100 amino acids long, and since the total amino acid number in all three MALT-targeting sequences was less than 50, all three were placed together, in tandem, in a “triple” MALT-targeting sequence (with at least two glycine residues between each sequence, to create “linkers” that increase flexibility and accessibility). Those phages became our “first testable constructs” carrying MALT-targeting sequences **AND** a “testable antigen”, as described on the next page.

NEXT PAGE: www.pgvax.com/t9-antibody-production-tests

TOPIC 9. THE FIRST ROUND OF TESTS WERE LIMITED TO “ANTIBODY PRODUCTION” TESTS, FOR BOTH: (i) IgG ANTIBODIES, IN BLOOD SERUM, AND (ii) SECRETED IgA ANTIBODY DIMERS, IN SALIVA. THE RESULTS WERE SO GOOD THAT WE HAVE MOVED ON TO “PATHOGEN CHALLENGE” TESTS, USING A DIFFERENT (i.e., BETTER AND MORE RELIABLE) PHAGE CONSTRUCT.

As mentioned on the prior page, the three “top performing” MALT-targeting sequences from the Round 2 screening tests (which did not contain cysteine residues, to avoid the risk of unwanted disulfide bonds) were selected for inclusion in a “tandem-triple” MALT-targeting sequence.

Since we were already working with *Inovirus* phages, and since they are small and easy to engineer, we hired a contract company to assemble a set of “first testable phage constructs” containing both:

(i) the “three-in-tandem” MALT targeting sequence, at the outer tips of all 5 copies of the long tentacle-like cp3 proteins on each particle; and,

(ii) a well-known antigen sequence (the “HA-tag epitope”, which first appeared in a troublesome influenza strain about 60 years ago). It is widely used for testing, largely because monoclonal antibodies that will bind to it are readily available, at reasonably low “mass-manufactured” costs.

The HA-tag antigen sequence was placed in some, but not all, of the small brick-like cp8 proteins that are packed together to assemble the cylindrical capsid which encloses the phage DNA, in *Inovirus* phages. It was discovered, in the 1970s, that if a peptide sequence longer than about 6 amino acids was added to all of the cp8 proteins, the resulting viruses would have severe difficulty in assembling (or “packaging”) themselves. Therefore, scientists began inserting a second engineered cp8 gene into the phage genome, controlled by a relatively weak or inducible gene promoter, so that a longer foreign peptide can be inserted into several hundred copies of the cp8 proteins, randomly distributed among nearly 3000 copies of the unmodified (“wild-type”) protein.

Those constructs were tested in both mice and pigs, and in both types of animals, testing via both ELISA, and SDS-PAGE/Western, clearly showed that a single nasal infusion of those phage particles, with no adjuvants, and no booster dosages, triggered “robust” formation of both secreted IgA dimers, in saliva, and internal IgG antibodies, in blood serum.

During the lead-up to those tests, several challenges were encountered with the *Inovirus* phage constructs, including a severely time-wasting episode of instability. When we looked into that problem, we learned that researchers have known, for decades, that the classic “fd-tet” construct – which contains not just a simple tetracycline resistance gene, but an entire “tetracycline resistance complex” which is self-regulating, and which is not expressed unless tetracycline is present – is inherently unstable, for not just one but two reasons:

(i) the tetracycline resistance complex was inserted into the “long inter-gene region” of the starting-point fd phages; and, *Inovirus* phages have a natural ability to spontaneously delete any foreign DNA which has been inserted into that region; and, any phages which happen to delete any foreign DNA from that region can reproduce more rapidly than phages carrying that “unwanted baggage”, and will soon overrun any subsequent batches of phages grown from those earlier batches; and,

(ii) the “tetracycline resistance complex” came from a transposon, and transposons (which often are called “jumping genes”) are known to spontaneously jump from one genome, to another.

Therefore, when the first constructs designed to carry a currently-active and important antigen sequence were being planned, the initial plan was to shift over to a different Inovirus construct, using two important modifications developed by the Jonathan Gershoni group, as described in Enshell-Seijffers et al, “The rational design of a 'type 88' genetically stable peptide display vector ...” *Nucleic Acids Res.* 29(10): E50 (2001).

However, questions and concerns began to arise over how quickly, reliably, and consistently a “filamentous” phage can be taken in, by an immune cell. If scaled up to a 1/8-inch thickness (i.e., comparable to a strand of cooked spaghetti), an Inovirus phage would be nearly 2 feet long. Any human can happily imagine slurping in a long strand of cooked spaghetti, coated in butter and a tasty sauce, but we have tongues, teeth, and pre-existing, long, tubular, happily-receptive digestive systems, and immune cells have none of those things. Instead, they need to form a special pouch, which will become a phagosomal bubble, from the same membrane material which makes up their outer membrane, any time they take in a particle. Therefore, simple logic suggests that a flexible filamentous phage will end up being “wadded up”, in some haphazard rather than controlled way, as it gets stuffed into a phagosome that is trying to remain spherical.

To avoid that problem, it was decided to shift over to using a “lytic” phage (i.e., a phage with a roughly spherical “head” component, which can be grabbed and pulled in quickly and conveniently, by an immune cell, in a manner comparable to a hand grabbing a nugget, or a gem), as the starting point, when plans began to firm up for creating the first phage constructs that would be suited for the first “pathogen challenge tests”.

That work is described on the next page.

NEXT PAGE: www.pgvax.com/t10-pathogen-challenge-tests

TOPIC 10. THE FIRST “PATHOGEN CHALLENGE TESTS” ARE IN PROGRESS, WITH T7 PHAGES CARRYING THE FI-6 ANTIGEN FROM INFLUENZA. THEY WILL BE COMPLETED IN APRIL 2026.

As described on the previous page, when it came time to move beyond “antibody production” tests and into “pathogen challenge” tests, we made two important changes, to create an entirely new type of engineered phage particle:

(i) we shifted out of using “filamentous” phages, which get “wadded up” in uncontrollable and unpredictable ways, when they get stuffed into a generally spherical phagosomal bubble, and we chose a type of “lytic” phage (the T7 class of phages), with a generally round “head” component, since that type of component can be easily and rapidly grabbed, and pulled in, by immune cells; and,

(ii) we stopped using an “easily tested” antigen (the HA-tag epitope, isolated from an influenza strain that was important 60 years ago), and obtained guidance from a specialist in influenza research, who is a research professor at a veterinary college. He recommended an influenza antigen called FI-6 (that is, capital F, and capital I, sometimes mistakenly called the F-16 antigen, and sometimes mistakenly interpreted as the F-lower-case-L-6 antigen). Its sequence, in single-letter code, is KESTQKAIDGVTNKVNS, and more information on it can be found in

the NIH's epitope database, at www.iedb.org/epitope/162644. That antigen sequence was selected, because it was present on the surfaces of a VERY wide variety of different strains of influenza, as described in Corti et al, Science 333: 850-856 (2011). However, recent analyses seem to suggest that a different sequence, which starts with KSTQ rather than KESTQ, may now predominate over the KESTQ sequence, especially among the H1 and H1 groups of influenza viruses. So, that has become one point of concern, among several.

That specialist steered us toward influenza, mainly because it can infect such a wide range of different animal types (including some types of mice, which can greatly reduce the costs of the initial tests), and because numerous labs already work with it. However, the choice of influenza, for the first pathogen challenge tests, has raised a number of complicating factors, which require attention. We do not know and cannot say whether we would still choose influenza, for the very first pathogen tests, knowing everything that we know now; but, the phages are ready, the tests have already started, and time will tell whether we made a good choice, or a poor one.

One complication arises from the way that influenza viruses infect cells. Most mucosal pathogens can penetrate into cells by binding to only a single specific type of protein, on the surfaces of the cells it targets. As three examples, HIV viruses (which cause AIDS) can only bind to CD4 receptors, which are present only on certain types of immune cells; the spike proteins on COVID viruses bind to a surface protein called “angiotensin-converting enzyme-2” (ACE2); and most “rhinoviruses” (which cause “common colds”; “rhino” is the Greek root for “nose”) bind to a cell protein called “intercellular adhesion molecule-1” (ICAM-1).

However, influenza viruses use a very different mechanism. Each influenza particle carries hundreds of copies of a protein called “hemagglutinin” (HA), and those proteins can grab hold of any “glycosylated protein” (i.e., any protein which has sugar groups attached to its strand of amino acids; roughly half of all animal proteins are in that category) which has a “sialic acid” group (i.e., a specific type of sugar molecule) at the tip of one of the sugar chains that are attached to the protein. Sialic acid groups are commonly used, to terminate the glycosylation process; therefore, they are present on lots of different proteins. The fact that there are hundreds of HA proteins on each virus particle, and much larger numbers of glycosylated cellular proteins (which any of those hundreds of HA viral proteins can grab hold of) with sialic groups at the tips of their sugar chains, than the comparable number of specific receptors types that most other pathogens can bind to (such as CD4 receptors, ACE2 receptors, or ICAM-1 receptors, as mentioned above) adds another major challenge.

In addition, influenza viruses use a highly unusual mechanism, to escape from the “phagosome” bubbles that cells (including immune cells) use, to pull in viruses, bacteria, and other pathogens. Those bubbles of membrane material usually keep a pathogen isolated, until a separate organelle called a “lysosome” merges with a phagosome, and creates a highly aggressive digestive mixture, inside the larger combined membrane bubble. As soon as phagosome are pulled inside a cell, an enzyme called H^+ -ATPase begins pumping acid into them, in order to “loosen up” the proteins and get them ready for more efficient digestion once a lysosome arrives. However, the HA proteins of influenza figured out a truly nasty (from our viewpoint) but remarkably clever and even brilliant way (if one wants to make more and more influenza viruses) to exploit that fact. When the acidity inside a phagosome reaches a elevated level (because of the acid-pumping activity of actions of H^+ -ATPase enzymes), the hundreds of HA proteins will on the surface of the virus will release a latching mechanism, which causes an extra-sticky component (comparable to a head on a hammer) to suddenly swing outward, and hit the inside of the phagosomal membrane. That will create a breach in the phagosomal wall, and

that will allow the virus particle to get out of the phagosome, and begin the process of hijacking the host cell, and forcing it to make more influenza viruses.

And, to make influenza viruses even more difficult and challenging, they are among the fastest-mutating viruses ever discovered.

And, to make the initial “MALT-targeting pathogen challenge tests” even more of a challenge, the engineered phage particles will be carrying only a single specific influenza antigen (i.e., the FI-6 antigen, as mentioned above). In direct contrast, the flu vaccines that are issued every year (actually, twice a year, for the northern and southern hemispheres), all contain a mixture of multiple different particles carrying different antigens, created by mixing together an assortment of different vaccine particles, created by separate manufacturing batches.

Those and other factors make it extremely difficult to create truly effective vaccines against influenza; so, it may turn out to be a mistake, to choose influenza as the pathogen that will be used in the very first round of pathogen challenge tests, to evaluate the efficacy of MALT-targeting vaccines. So, if it turns out to be a bad choice, subsequent tests will use a different antigen, from a different pathogen which infects animals via a more conventional specific-receptor pathway. However, if the decision to choose influenza for the first pathogen challenge tests turns out to be a good decision, it will open more doors, and lay a better foundation for future work, more quickly, and more convincingly. So, time will tell.

To provide more information to help readers understand T7 phages, they have a surface protein which appears in two different forms, which are called the 10A form (which appears in about 400 copies/particle), and the 10B form (which appears in about 40 copies/particle). The longer 10B form is created roughly 10% of the time, when “protein translation” (i.e., when a ribosome “reads” the codons on a strand of mRNA, and uses each codon as the instruction to select a single specific amino acid, and add it to the growing strand of protein) “crashes through” a first stop codon, and continues translating a longer protein (the 10B version), until it reaches a second stop codon. Therefore, the FI-6 antigen sequence was positioned in the 10A segment (to provide about 400 copies of that antigen, per particle), and the MALT-targeting sequence was positioned in the 10B segment (to provide only about 40 copies/particle).

Another advantage of using T7 phages is that their 10A capsid proteins can carry large and long add-on peptides, with optimal exposure and accessibility. Whenever proteins are created, the end with the “N-terminus” is created first, and in T7 phages, that terminus is the end which fits into the capsid, in a manner which causes each 10A protein to “fit in” with, and adhere to, its surrounding proteins (this is comparable to pressing LEGO blocks together, to form a capsid shell structure that will hold together without requiring any additional adhesive to hold the proteins together). That allows relatively long “add-on” sequences (such as an antigen sequence derived from a pathogen) to be added to the carboxy ends of engineered/modified 10A capsid proteins, since those “carboxy-end add-ons” will protrude in an outward direction, and will not interfere with “packaging” of the capsid shell. As a result, engineered T7 phages can carry antigen add-ons having more than 100 amino acids, and lengths of 200 AA's appear to be achievable (however, extra-long lengths will slow down the replication of the phage particles).

Additional details concerning the “genetic cassette” design of those T7 phage constructs will be disclosed in a patent application, rather than in this website. For now, it should be noted that the phrase, “genetic cassette,” is used to indicate that a certain type of plasmid or phage construct has been designed and assembled in a certain way, to make it simple and easy to delete a specific DNA sequence, and replace that deleted sequence with a new and different sequence. Therefore, the “cassettes” that are being created, to create MALT-targeting vaccine particles,

have been specifically designed to make it easy to “swap out” the antigen sequence, or the MALT-targeting sequence, and replace either one with any other selected sequence, and to either swap out, or delete, the selectable marker gene. It's also worth noting that the selectable marker gene does not involve any form of antibiotic resistance, which would raise an additional set of concerns and objections. Instead, the selection gene encodes an enzyme called "thioredoxin" (abbreviated as trx), which de-toxifies a class of metabolites that become toxic, if they accumulate to unhealthy quantities. Therefore, colonies of trx-deficient cells can begin growing, but they will not grow larger than pinhead sizes, unless they contain a functioning plasmid or phage which can supply functioning trx enzymes.

As a result, by using those cassettes as a starting reagent, we can provide custom-assembled MALT-targeting T7 phages, carrying *any* antigen sequence *that a qualified requesting company or research group specifies*, at a low cost, **provided that** the requesting company or group makes a firm commitment to actually test those particles, in pathogen challenge tests, in at least one type of animal. More information on that offer is available, **HERE**; and, we hereby commit to a \$4000 price, for each of the first ten T7 phage constructs we create and sell to qualified research teams. We will see how that goes, and adjust the price, if necessary, after we reach that milestone.

NEXT PAGE: www.pgvax.com/next-steps-goals

WHAT ARE OUR NEXT STEPS, AND GOALS? WHAT ARE WE HOPING FOR, FROM VACCINE COMPANIES AND/OR RESEARCHERS THAT HEAR ABOUT OUR WORK?

Rather than “playing our cards close to our chest”, we would prefer that every vaccine company, research group, and government agency know exactly what we are doing, why we are doing it that way, what we hope to do next, and what they can expect from us, during 2026.

That approach makes the most sense to us, in light of the following factors:

- We do NOT have any facilities, or expertise – or any desire, at any level – to begin testing actual pathogens, in any types of animals. Instead, all of the work we performed directly was in a “startup incubator lab,” which only allowed mice and rats to be tested, and which did not allow any actual pathogens to be tested, used, or brought into the labs; and, we aren't even renting that lab space any more, since the remaining work needs to be done in different types of facilities.
- We have absolutely no desire to ever become a company which manufactures or sells any type of vaccine. Instead, we hope to become a licensing company, and a company that promotes and enables as much research as possible, as quickly as possible, into as many types of mucosal vaccines as possible, for as many different types of animals as possible. And, those goals and desires are indeed affected by, and consistent with, a set of entirely humanitarian, altruistic, and benevolent hopes and wishes. We want this technology to begin helping people find ways to minimize or completely avoid sickness, suffering, and disease, among animals as well as humans, and we want to do all we can, to help reduce and control healthcare costs.
- Although it is not a pressing goal at the moment, we also hope to eventually help lay a foundation for better, more useful, and more productive exchanges, between anti-vaxxers, and the scientific and medical communities. If these new types of mucosal vaccines can

eliminate any need for the harsh and nasty adjuvants that injectable vaccines require, and can provide other important advantages as well, they may end up creating a “middle ground” where people on both sides of the pro-vax and anti-vax arguments can meet, and talk, and actually communicate with each other, rather than pointing fingers, making accusations, and trying to defend against and deny anything and everything “the other side” is doing, to “try to score points”.

So . . . instead of wanting to compete against anyone, we hope to become a licensing company, which can:

- create a structure and system that will incentivize those who are already experts – in testing vaccines against actual pathogens, in one or more types of animals – to do those types of tests. How can we offer that encouragement and incentive? By both: (a) offering custom-engineered MALT-targeting T7 phage constructs, at low cost (we hereby commit to a \$4000 price, for *each of the first ten* phage constructs, and we'll see what happens, after that), carrying ***any antigen sequence that a qualified requester will commit to actually testing, in “pathogen challenge tests”, in one or more types of animals***; and, (b) openly offering a worldwide exclusive license, to *any and all use of our MALT-targeting delivery system, for any and all vaccines against a specific pathogen or disease, in one or more types of animals*, to the first animal vaccine company, vet school research group, or other qualified group which generates “proof of efficacy” that is sufficiently solid and detailed to enable “registration” (i.e., authorization for sale for use in animals) by the U.S. Department of Agriculture, for the requesting company to sell that type of vaccine. As a more detailed statement of that approach, the next page contains a single-page handout we provided to any interested visitors, at the World Vaccine Congress 2026, held in Washington DC on March 30-April 2, 2026.
- create an advisory board – if the research in animal vaccines looks strong and promising – to begin evaluating suggestions and proposals from vaccine manufacturers, for how they would suggest moving forward to begin testing and making MALT-targeting **human** vaccines against various diseases. In other words, we don't want to get ahead of ourselves, and we have made no decisions or commitments, of any sort, concerning human vaccines. Instead, if the animal work looks promising, we will begin talking with experienced people who have worked in or with the human vaccine industry, to get their advice, and possibly their support and/or participation; and,
- begin talking with an organization called RADVAC, about whether and how some early small-scale clinical trials might be organized for doctors, nurses, and other health care workers, since they: (i) are highly and heavily exposed to new strains, mutants, and variants of both COVID and influenza, because of their work with infected patients; and, (ii) are in a position to make well-informed personal decisions, about whether they might wish to try and help test a mucosal vaccine against some new variant or mutant strain of either COVID or influenza, if that vaccine has been shown to create “neutralizing antibodies” of the “secreted mucosal IgA dimer” type, against some new and dangerous new strain of either type of virus. The RADVAC organization was formed in Boston by top-level biochemistry experts connected to Harvard and/or MIT (and, that work was funded mainly by internet billionaires with political attitudes that leaned in generally libertarian or at least highly pragmatic directions) soon after the COVID pandemic broke out in 2020, when the government announced that it might take up to two years before any effective COVID vaccines would become available. Those experts began working together to create the best oral vaccine they

could create, to protect themselves and their loved ones, while waiting (or, “rather than merely waiting, passively”) for government-approved vaccines. So, they are worth talking with, to see what they learned from those efforts and experiences. And, if people who actively work in health care (and who are frequently exposed to infected patients) can be shown enough hard, solid, trustworthy data – focusing on both mucosal antibody formation, and apparent safety – to persuade and convince THEM that the types of mucosal vaccines described herein can probably help protect people who are being actively exposed to infected patients, then it's hard to see why others should try to stop experienced and educated “health-care professionals” from doing what they can, to protect themselves, and their families and friends.

Stated in alternate words, we hope and intend to create a large number of licensing opportunities, for a substantial number of animal vaccine companies, in ways that (we hope) will end up creating a network of friends, allies, and partners, rather than creating enemies, adversaries, and opponents.

NEXT PAGE: www.pgvax.com/choose-your-antigen-offer

This handout was given to attendees at the World Vaccine Congress, March 30-April 2, 2026:

PRECISION-GUIDED VACCINES LLC

www.pgvax.com

Our *YOU CHOOSE THE ANTIGEN* offer:

- 1. You specify the antigen; we don't need to know sequence, type of pathogen, or intended animals**
- 2. You must be fully capable of – and must commit to – completing pathogen challenge tests in rats or larger within 4 months after receiving phages**
- 3. T7 phages will have 3 best MALT-targeting sequences in tandem, double-glycine linkers between them, in 10B capsid proteins (~40 copies/particle)**
- 4. 400 copies of your antigen, in 10A proteins**
- 5. Phage suspension pure enough for direct infusion**

of droplets into animal nostrils

6. \$4000 total for first 10 purchasers (50% up front).

If you provide monoclonals for dot-blot confirmation during preparation, price will drop to \$3750

7. Unlimited right to grow phages for in-house testing

8. Purchase will lock in a right to worldwide exclusive license to all MALT-targeting technology, at royalty not to exceed 8%, for first company that generates sufficient data to enable USDA registration for that pathogen, in that class of animals, regardless of antigen sequence, particle type, or other details, with worldwide sublicensing rights (our royalty cut 30%)

NEXT PAGE: www.pgvax.com/contact-us

Please use this form to contact us, if you wish to open a line of communication between us. Tell us whatever you would like us to know (preferably, including the company, agency, university, or whoever/whatever you work for or with, or, at least some sort of background info about yourself).

If you send us a text, using this page, feel free to include 1 or more links to web pages, but do *NOT* try to attach any documents, pictures, videos, or anything else, to your initial text message. Please understand that if we visit any links you designate, we will do so on an old backup computer, and if we encounter any malware on your link, we can wipe that computer clean and restore it, with no difficulties; and, we will post whatever we can find out about you, and your attempted malware, on various boards, to warn good people to avoid you.

Before you send a message, please at least try to understand, and accept, that we cannot be pushed or shoved into either a pro-vax, or anti-vax, category. Instead, our only goal is *BETTER* vaccines. If you are opposed to vaccines, and if you chose to not read anything else on this website, then please, at least read the page about “adjuvants”, which is [HERE](#) [link to www.pgvax.com/t5-irritating-vaccine-adjuvants]. In total sincerity, we are trying to help *EVERYONE*, by *ELIMINATING* the types of *inflammatory, toxic, and nasty adjuvant compounds* that are necessary, to make *injected* vaccines work properly, today.

And, beyond that potentially major advantage, we also hope to show companies and researchers how they can make new types of vaccines which can provide *BETTER* protection against literally hundreds of different mucosal pathogens, than any currently available vaccines. How? By triggering the formation of *BOTH OF TWO ENTIRELY DIFFERENT* types of antibodies: (1) the standard Y-shaped internal antibodies that injectable vaccines can create (we

can do it without requiring any injections, or nasty adjuvants); *AND*, (2) by *ALSO* triggering the creation and secretion of mucosal antibody *DIMERS*, as well (which work by a totally different mechanism, and which *INJECTED* vaccines can *NOT* create).

[CONTACT BOX]